

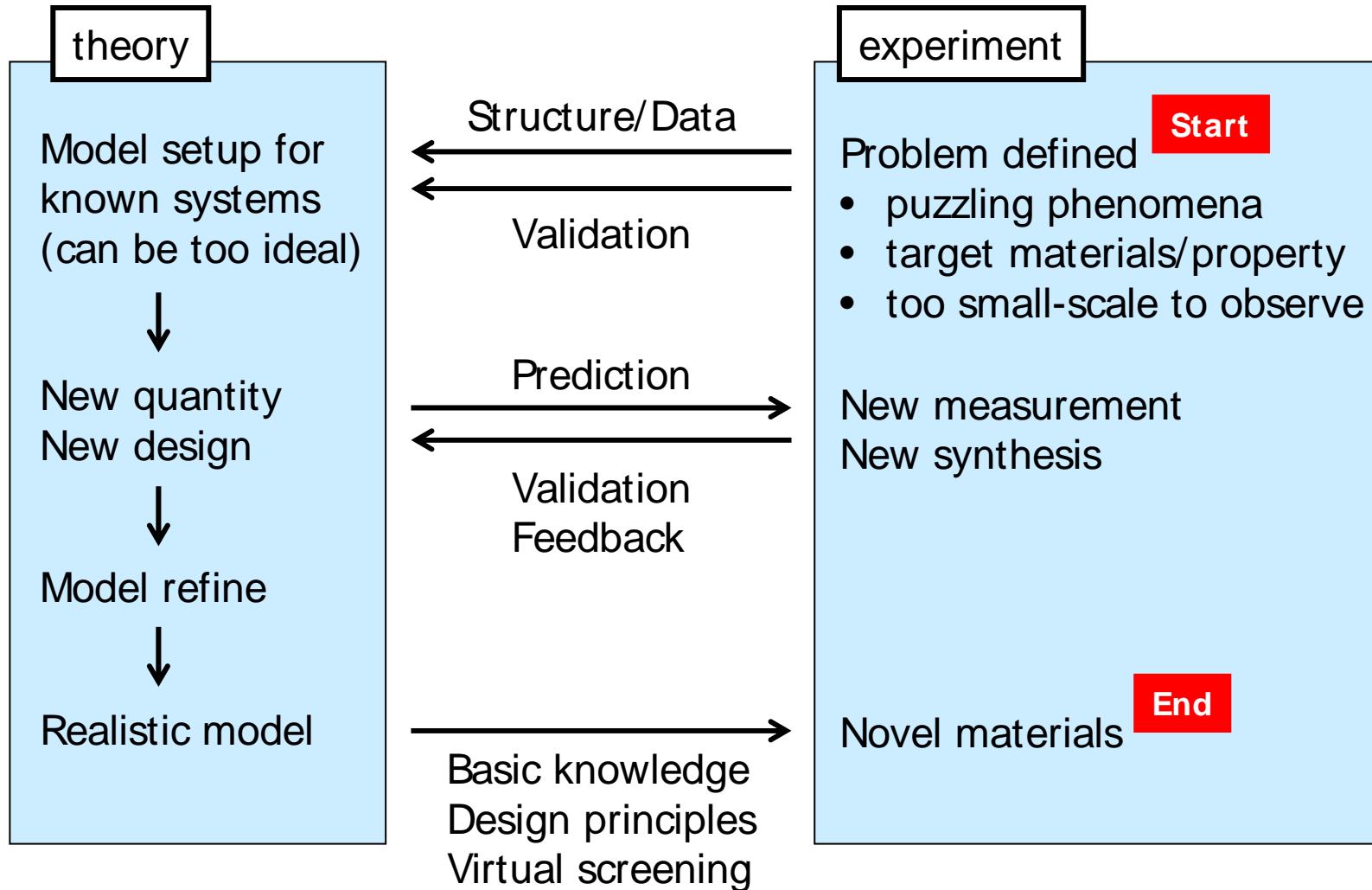
# **[2]Rotaxane Molecular Switch: Contributions from Molecular Modeling**

Yun Hee Jang

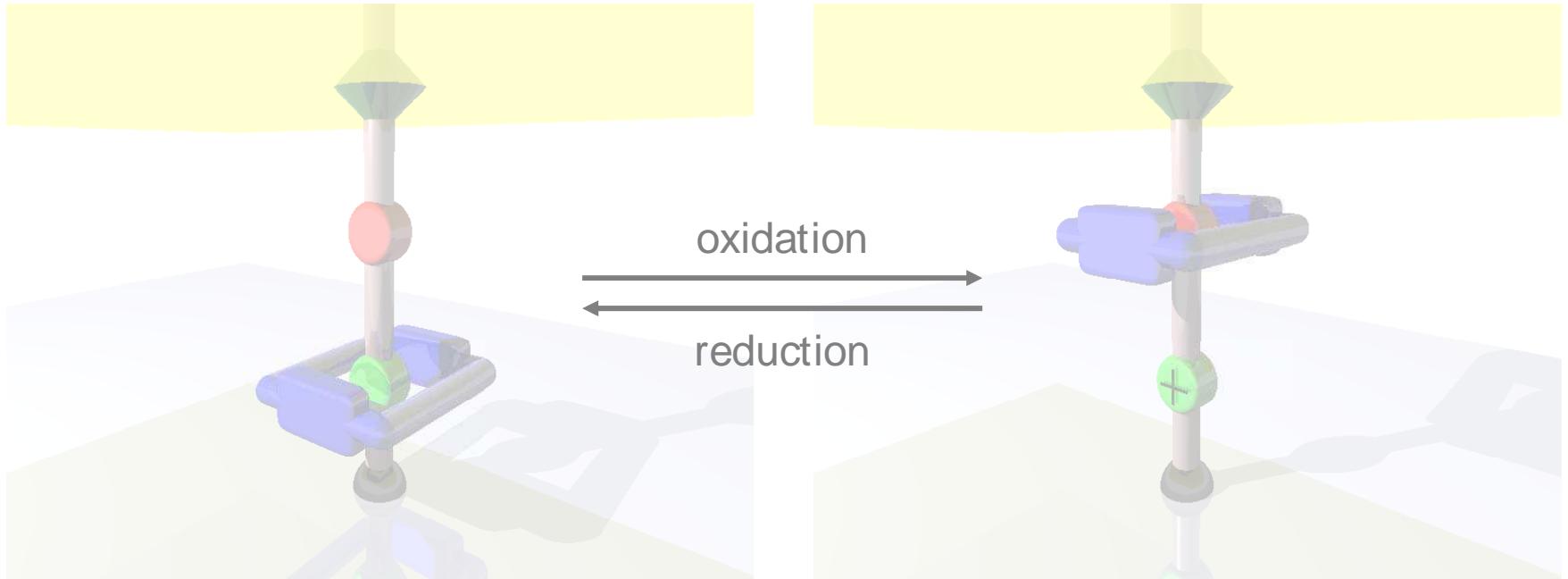
Department of Materials Science and Engineering,  
Gwangju Institute of Science and Technology (GIST), Gwangju, Korea  
and  
LEMA, Université François Rabelais, Tours, France

June 23, 2006  
CASCI MODOT (Université François Rabelais, Tours)

# Ideal interplay between theory and experiment



# [2]Rotaxane Molecular Switch: Contributions from Theory

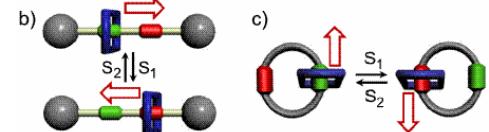


## Acknowledgement:

- Yong-Hoon Kim, Seung Soon Jang, William A. Goddard III (Caltech)
- Hsian-Rong Tseng, Amar H. Flood, J. Fraser Stoddart (UCLA)
- David R. Steuerman, Jang Wook Choi, James R. Heath (Caltech)
- Bo W. Laursen, Kasper Norgaard, Thomas Bjornholm (U Copenhagen)

# Outline

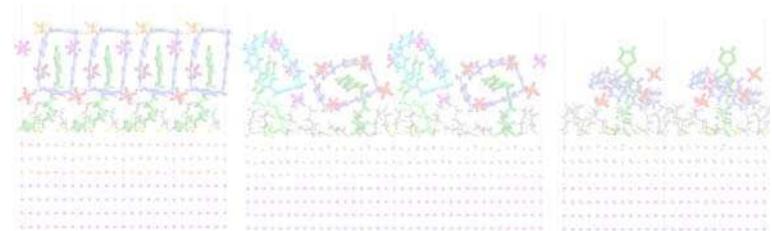
- Rotaxanes and Catenanes  
Molecular electronics and other applications



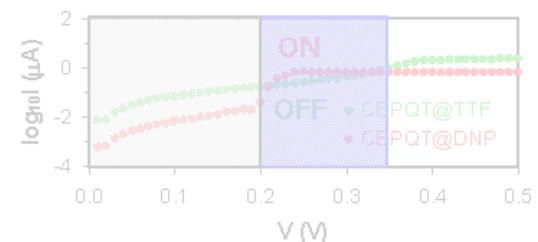
- Electronic structure (Design principle):  
Quantum mechanics calculation



- SAM structure on Au surface:  
Molecular dynamics simulation



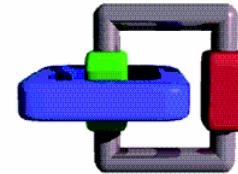
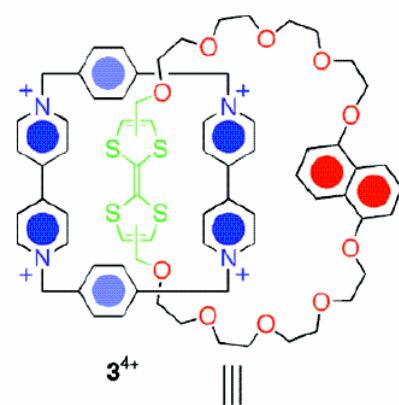
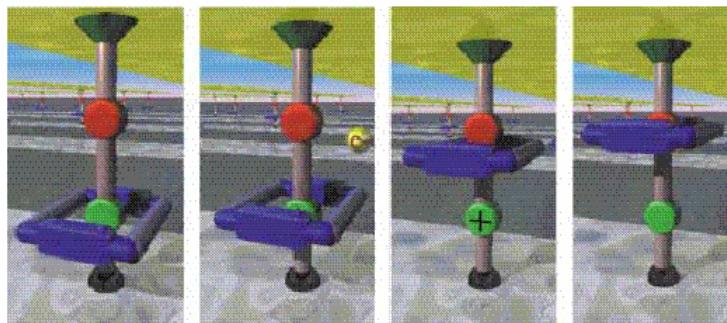
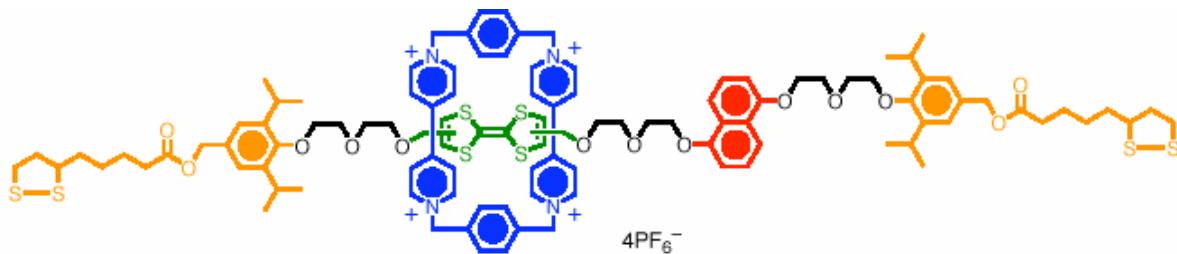
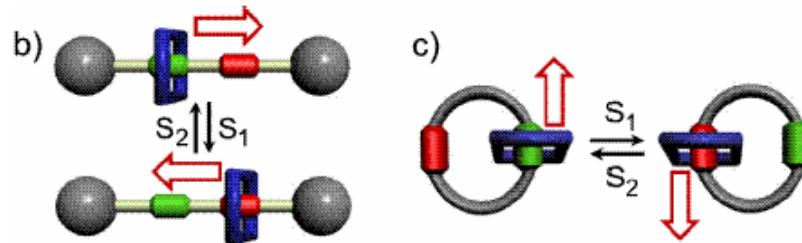
- Current-voltage ( $I - V$ ) curve calculation between Au electrodes  
Periodic quantum mechanics calculation  
+ Surface Green's function formalism



# Stoddart-Heath Type [2]Rotaxane and [2]Catenane

**Rotaxane / Catenane**  
(dumbbell / ring-shape)

a shuttle moving back and forth between stations

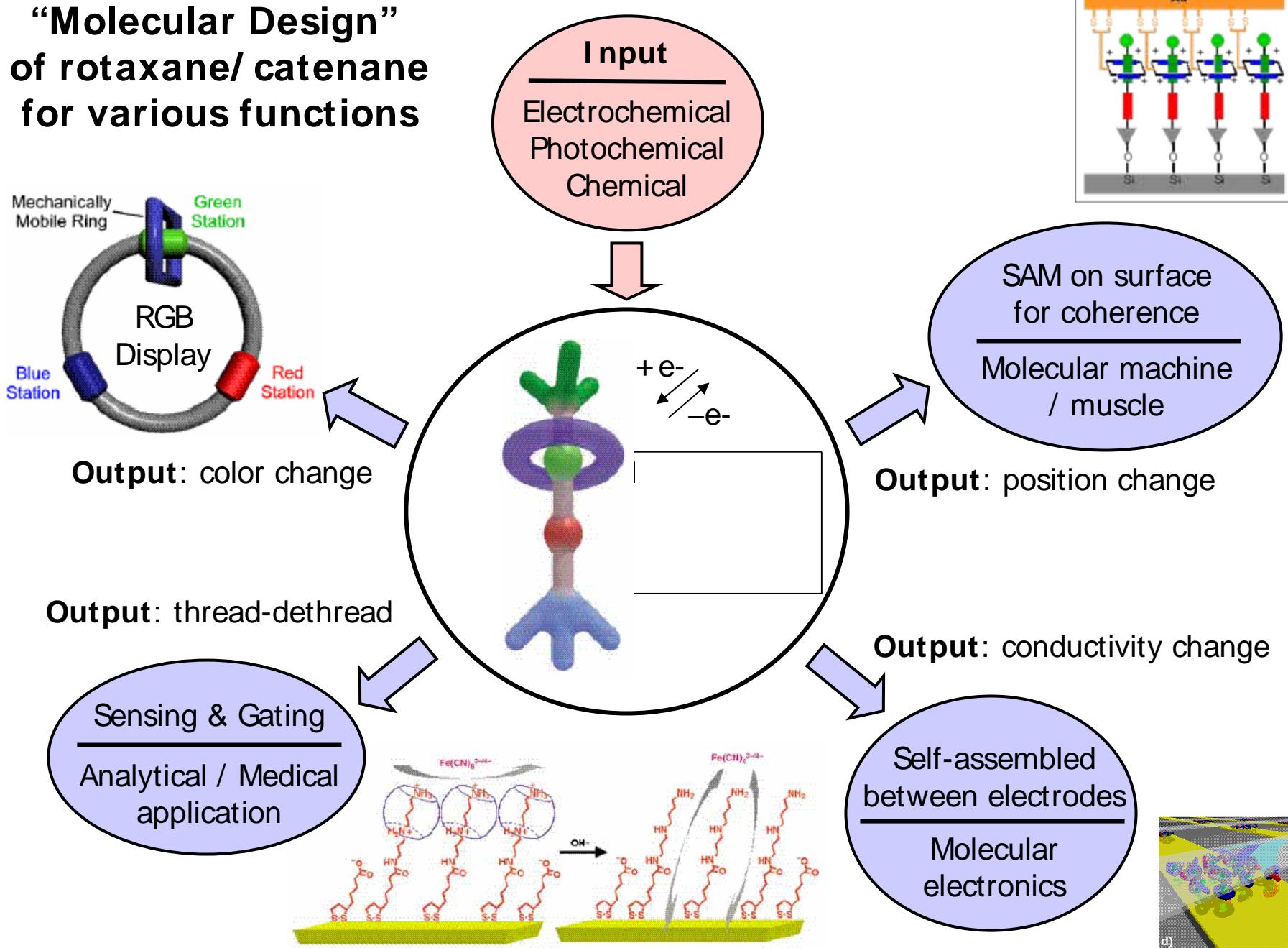


Shuttle : CBPQT = cyclobis(paraquat-*p*-phenylene)

Station 1: TTF = tetrathiafulvalene

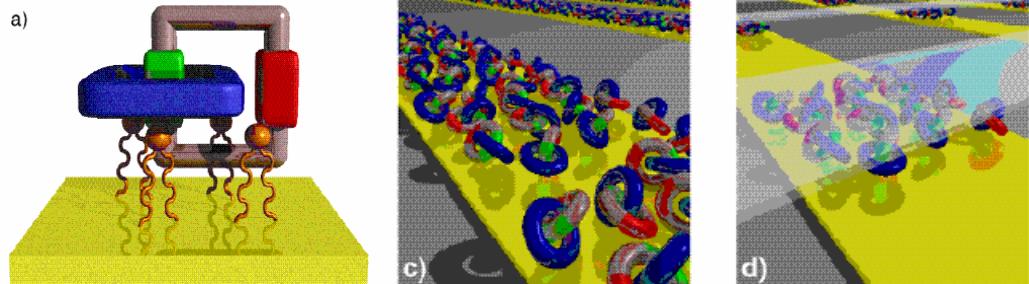
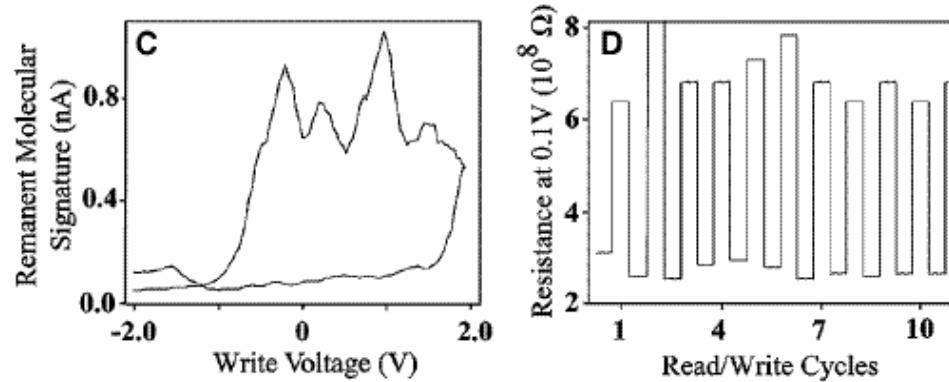
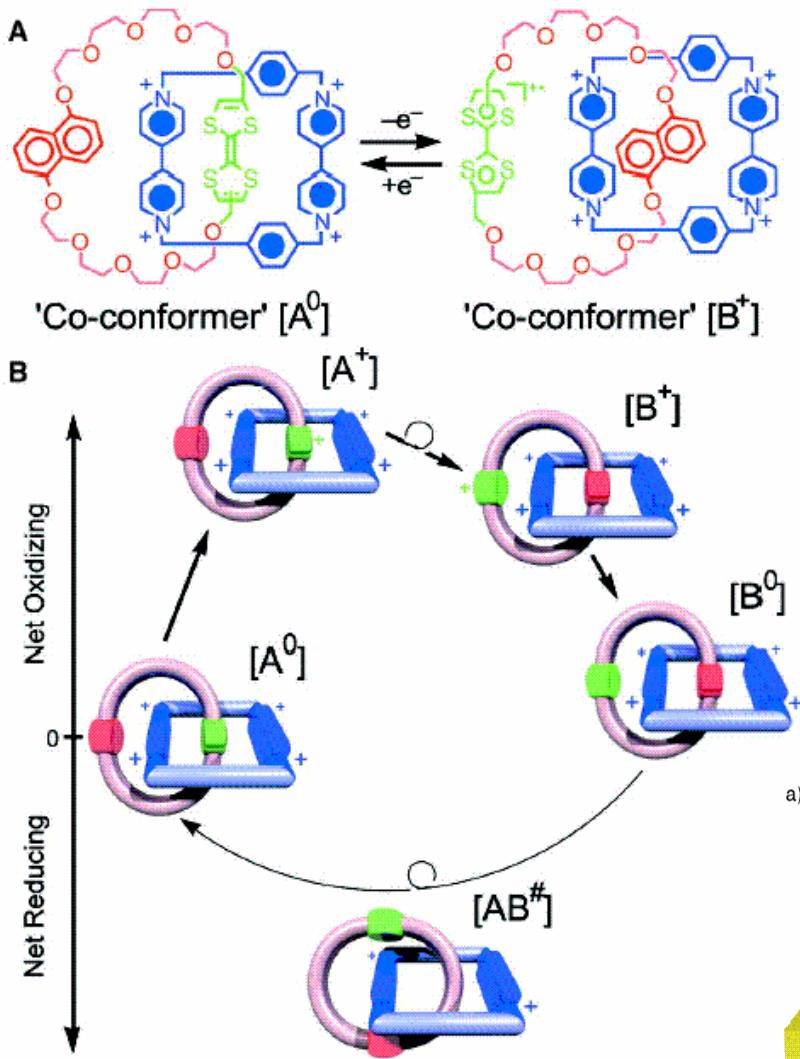
Station 2: DNP = 1,5-dioxynaphthalene

# “Molecular Design” of rotaxane/ catenane for various functions



# A [2]Catenane-Based Solid State Electronically Reconfigurable Switch

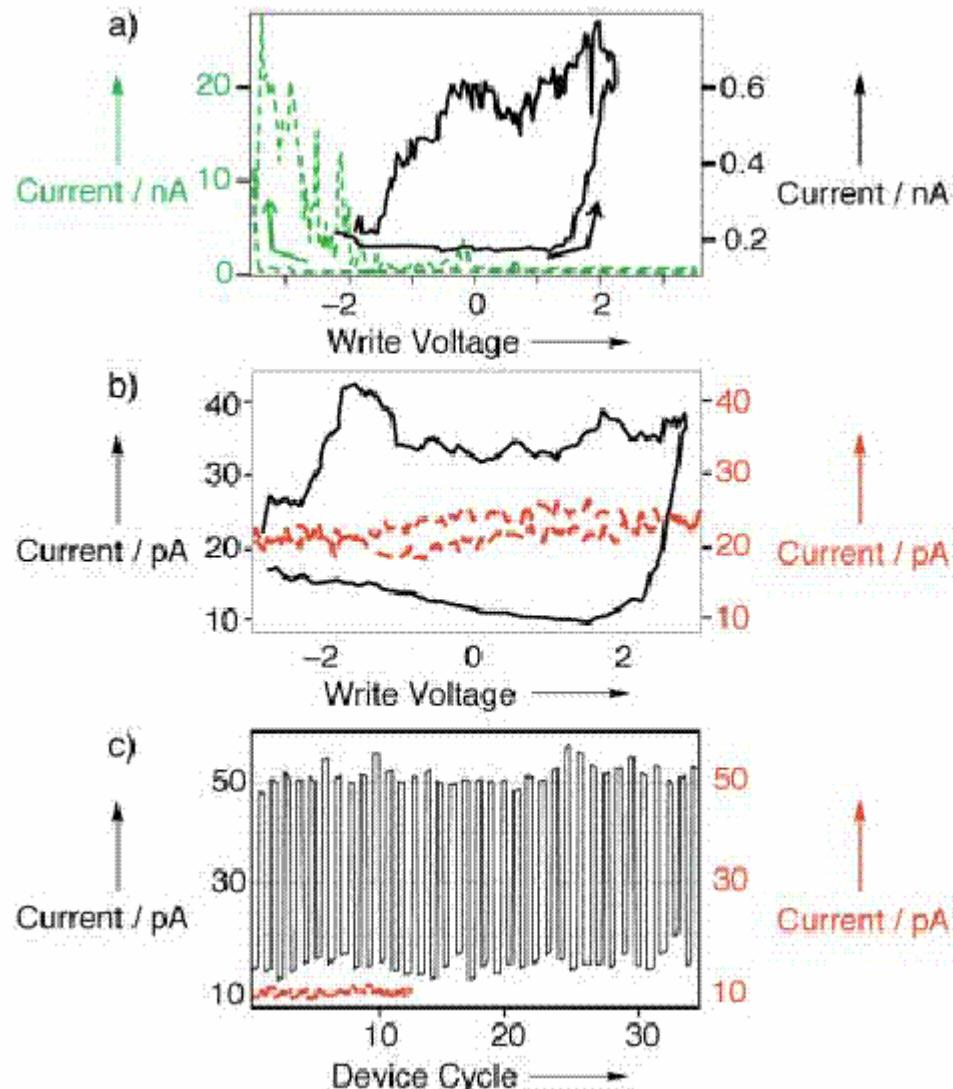
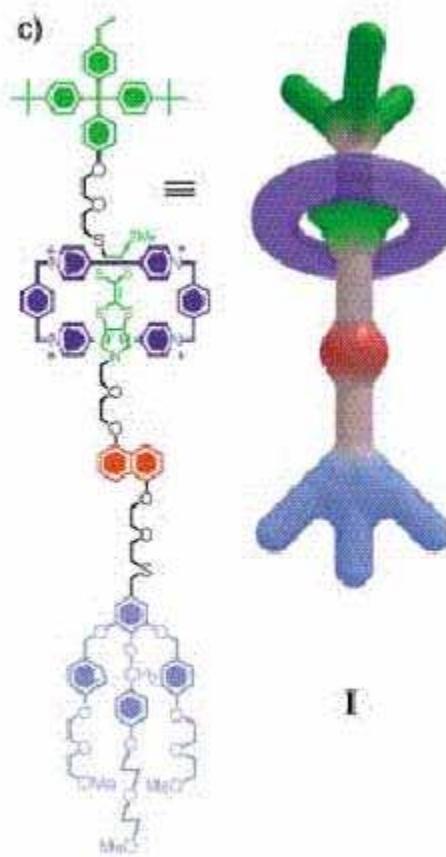
Charles P. Collier, Gunter Mattersteig, Eric W. Wong, Yi Luo,  
 Kristen Beverly, José Sampaio, Francisco M. Raymo,  
 J. Fraser Stoddart,\* James R. Heath\*



# Two-Dimensional Molecular Electronics Circuits

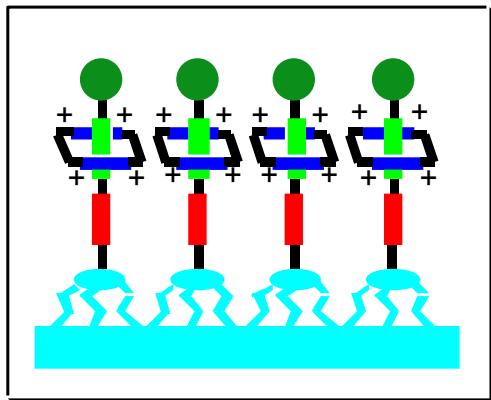
Yi Luo, C. Patrick Collier, Jan O. Jeppesen, Kent A. Nielsen, Erica Delonno, Greg Ho, Julie Perkins, Hsian-Rong Tseng, Tohru Yamamoto, J. Fraser Stoddart,\* and James R. Heath\*<sup>[a]</sup>

CHEMPHYSCHM 2002, 3, 519–525

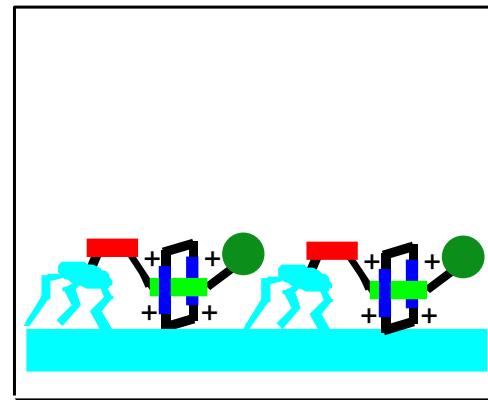


# Toward better arrangement of rotaxane-soldiers

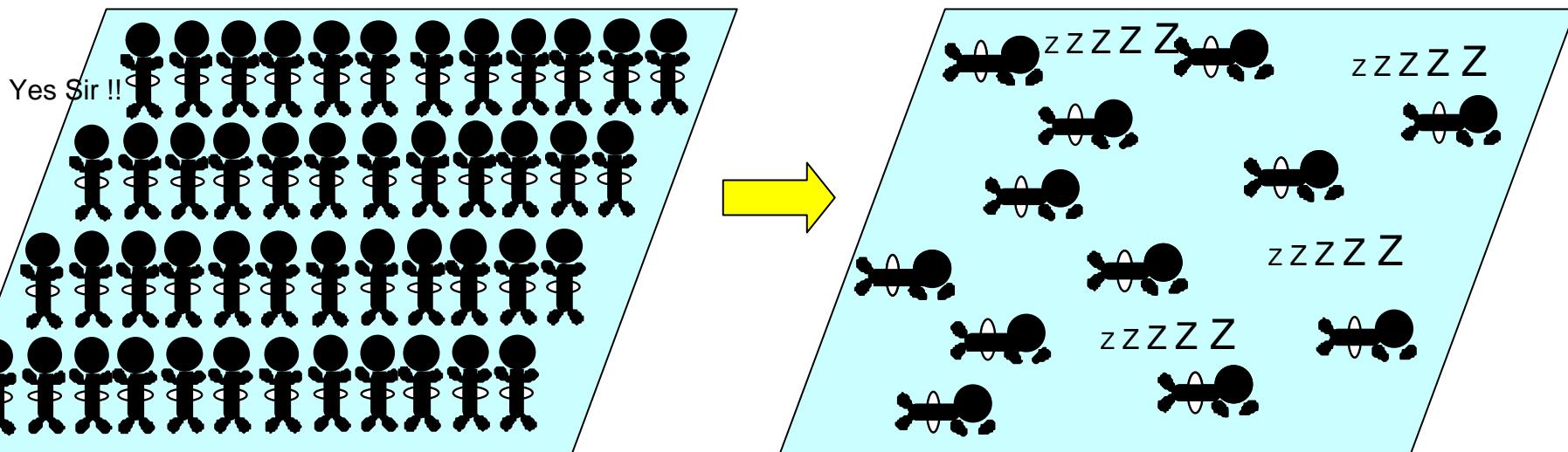
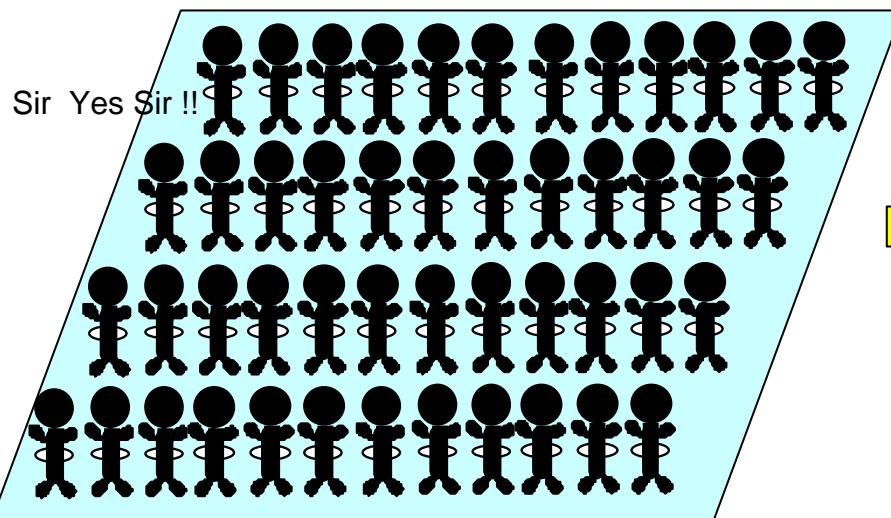
Ideal



Could be ...



Sir Yes Sir !!



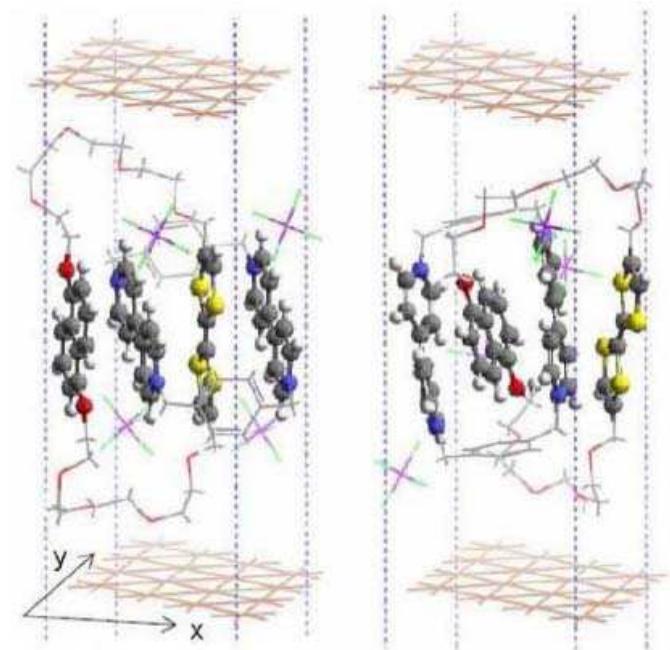
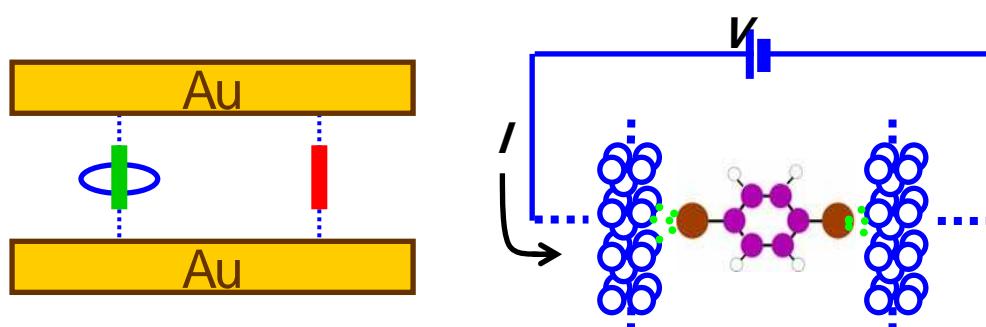
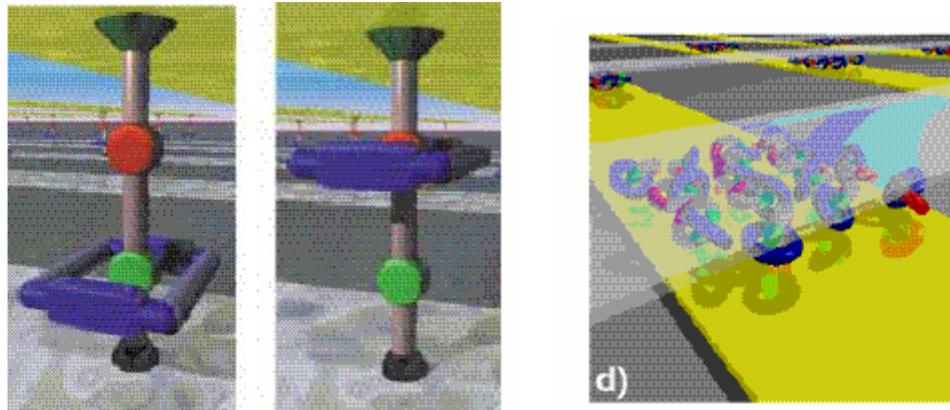
We need to order them to stand shoulder-to-shoulder sticking straight out from the surface.

# Goal

**Model molecular junctions between electrodes  
Predict current-voltage ( $I$ - $V$ ) characteristics**

Periodic quantum mechanics + surface Green's function formalism

→ **Switching mechanisms & Design principles**

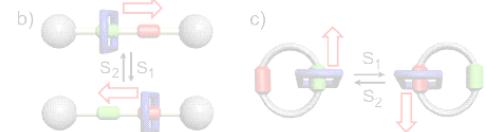


CBPQT@TTF  
“Green” state

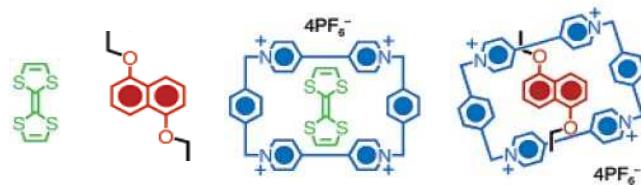
CBPQT@DNP  
“Red” state

# Hierarchical multi-scale modeling

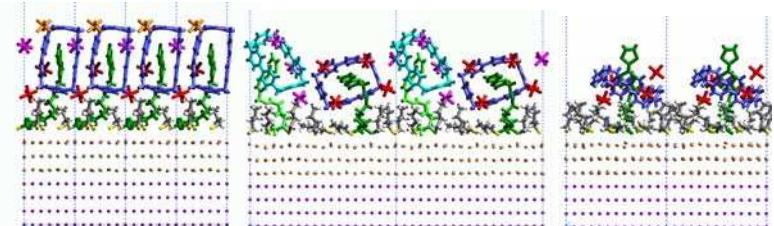
- Rotaxanes and Catenanes  
Molecular electronics and other applications



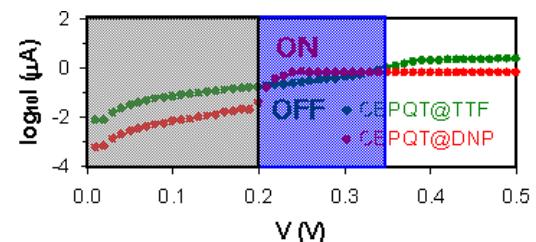
- Electronic structure (Switch mechanism):  
Quantum mechanics calculation



- SAM structure on Au surface:  
Molecular dynamics simulation



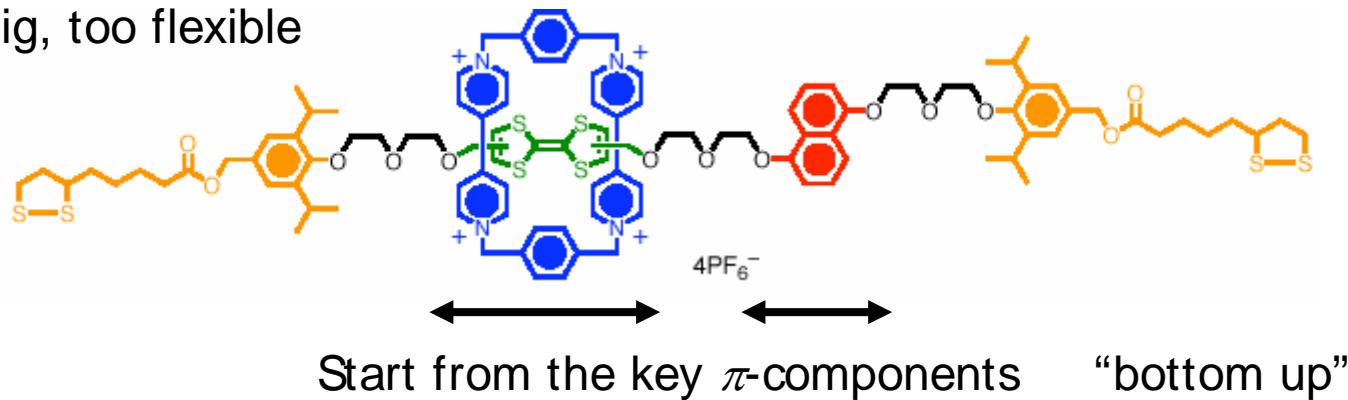
- Current-voltage ( $I$  -  $V$ ) curve calculation between Au electrodes  
Periodic quantum mechanics calculation  
+ Surface Green's function formalism



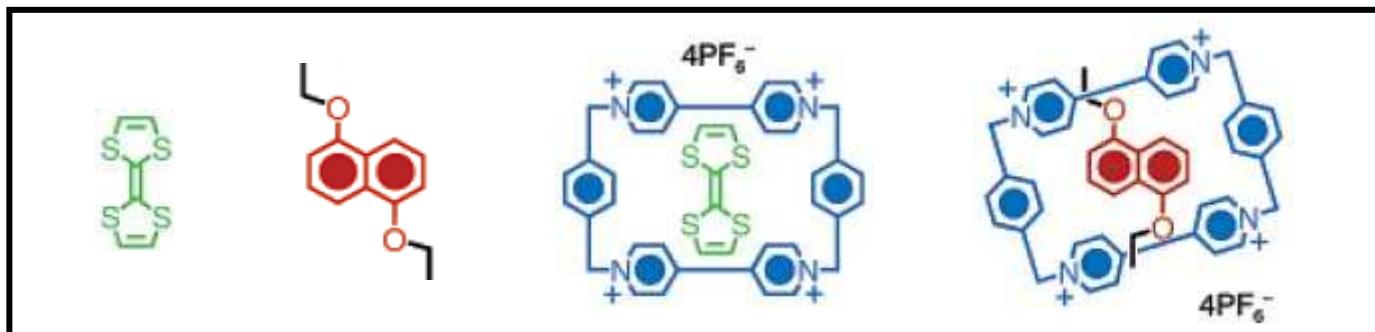
# Step 1. Electronic Structure and Switching Mechanism

## Quantum Mechanics Calculation

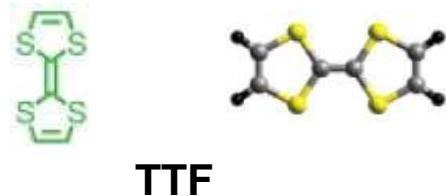
Too big, too flexible



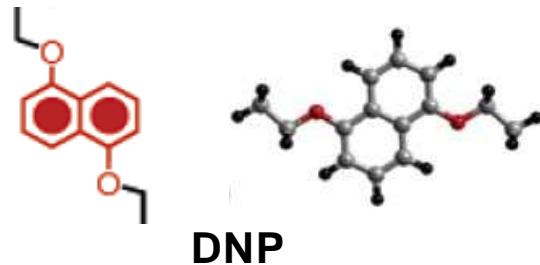
\* Around  $E_F$ , only  $\pi$ -orbitals:  $\sigma$ -character components (linker & anchor) irrelevant



## Free stations (finger)

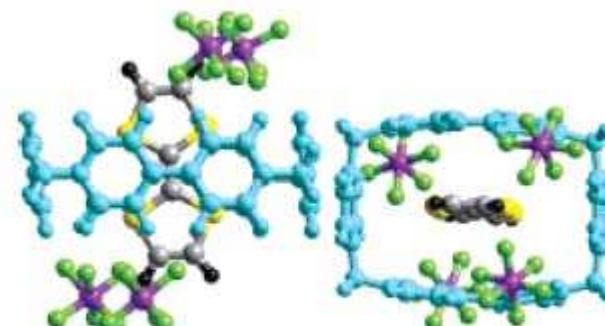


TTF

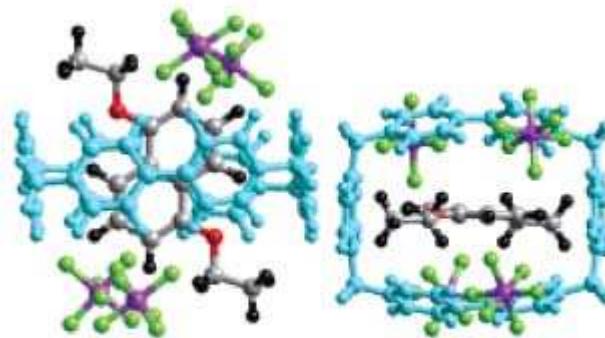


DNP

## Shuttle-station complexes



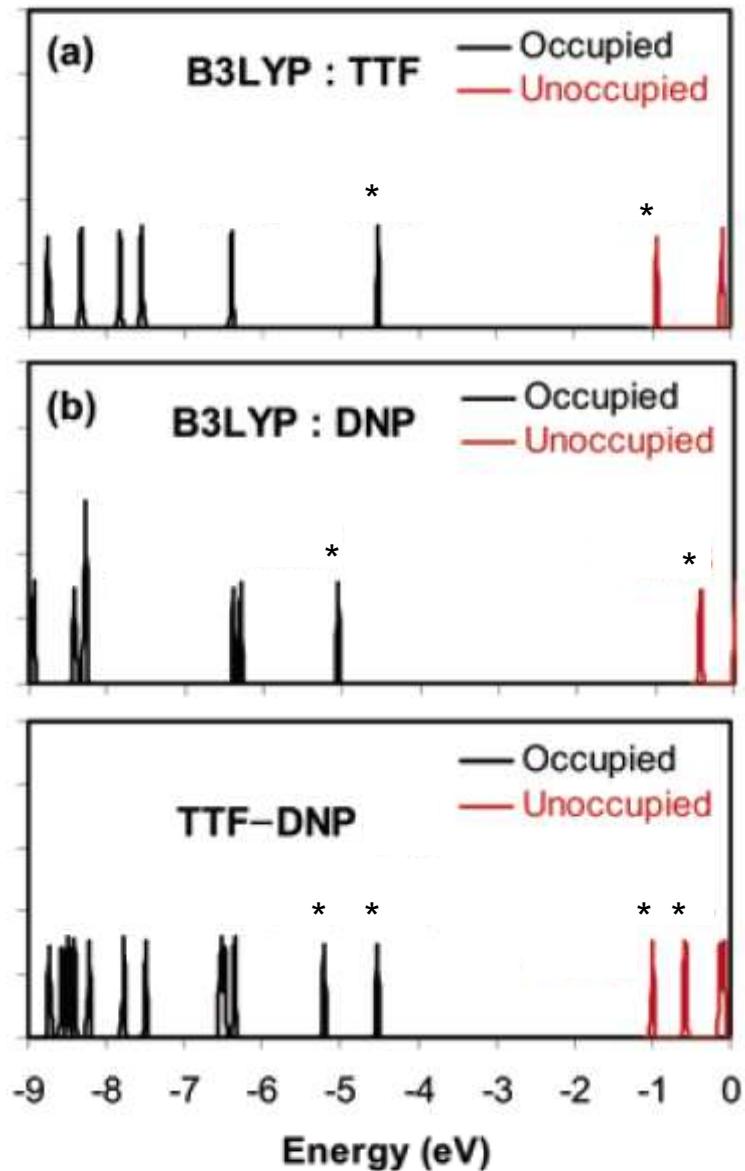
(TTF)(CBPQT)(PF<sub>6</sub>)<sub>4</sub>



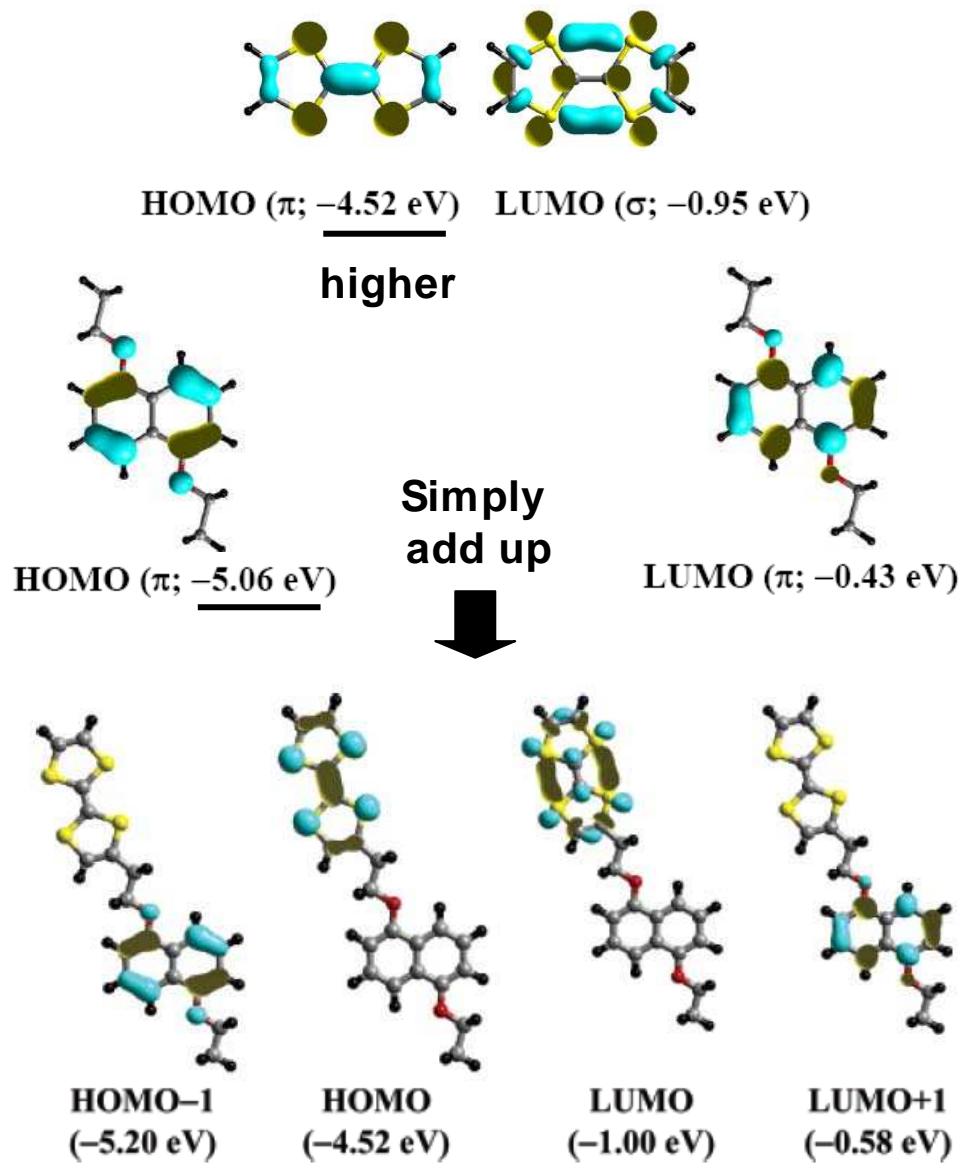
(DNP)(CBPQT)(PF<sub>6</sub>)<sub>4</sub>

Crystal structure → Geometry optimized at B3LYP/ 6-31G\* \* level

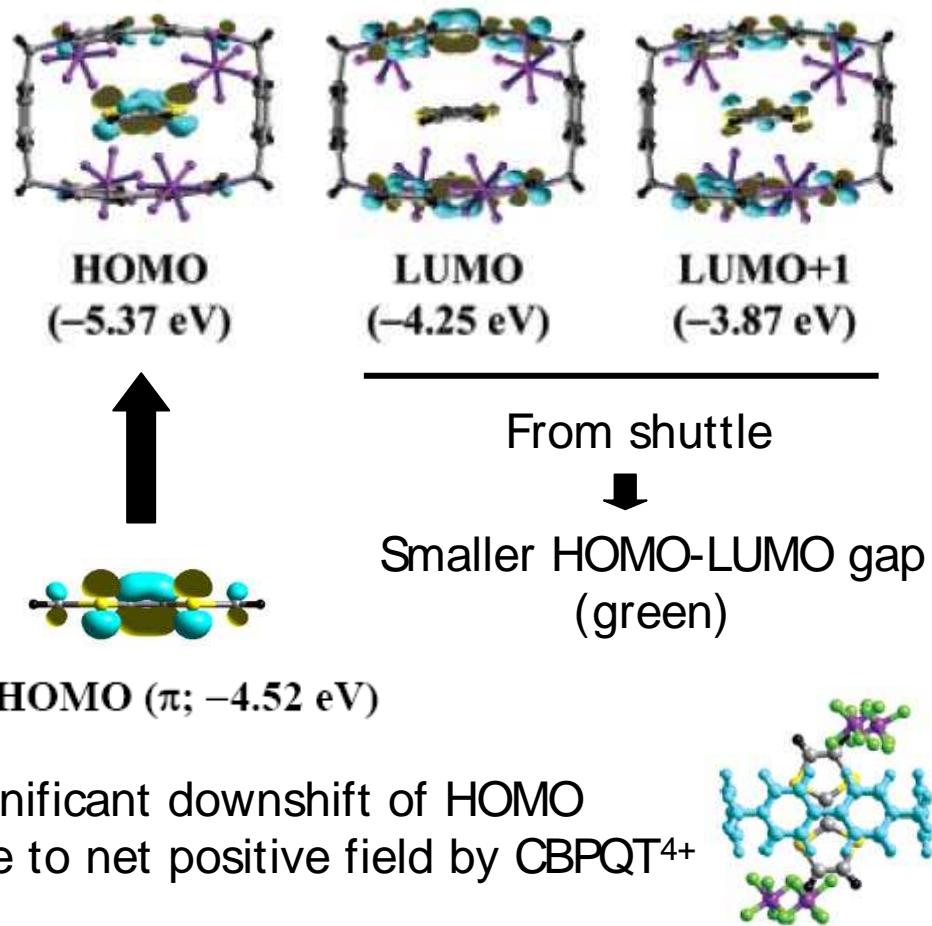
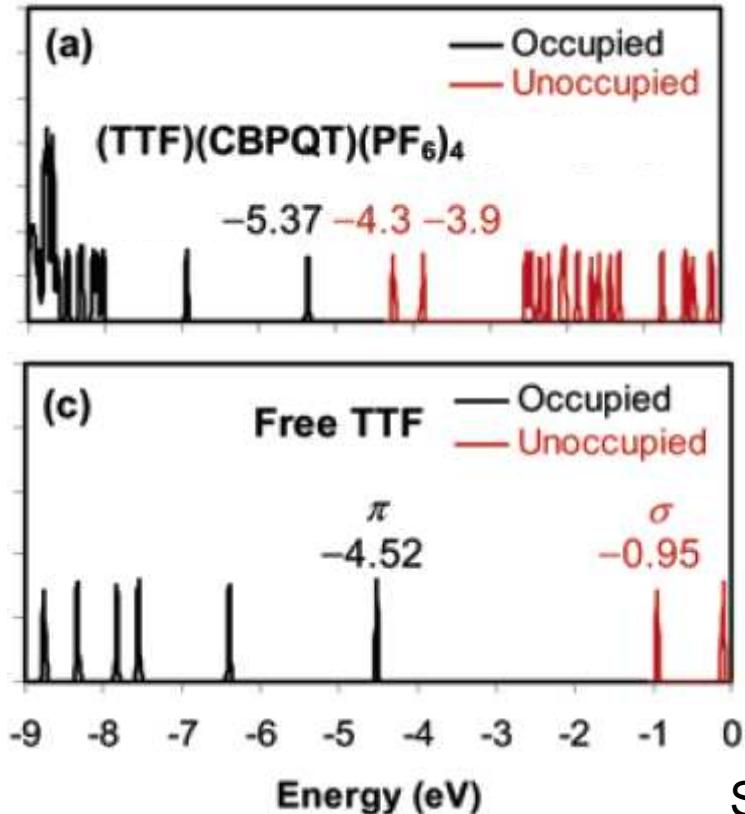
## Density of states (energy levels)



## Frontier molecular orbitals



# Shuttle-Station Complex: $(TTF)(CBPQT^{4+})(PF_6^-)_4$



The same goes for the other complex  $(DNP)(CBPQT^{4+})(PF_6^-)_4$ .

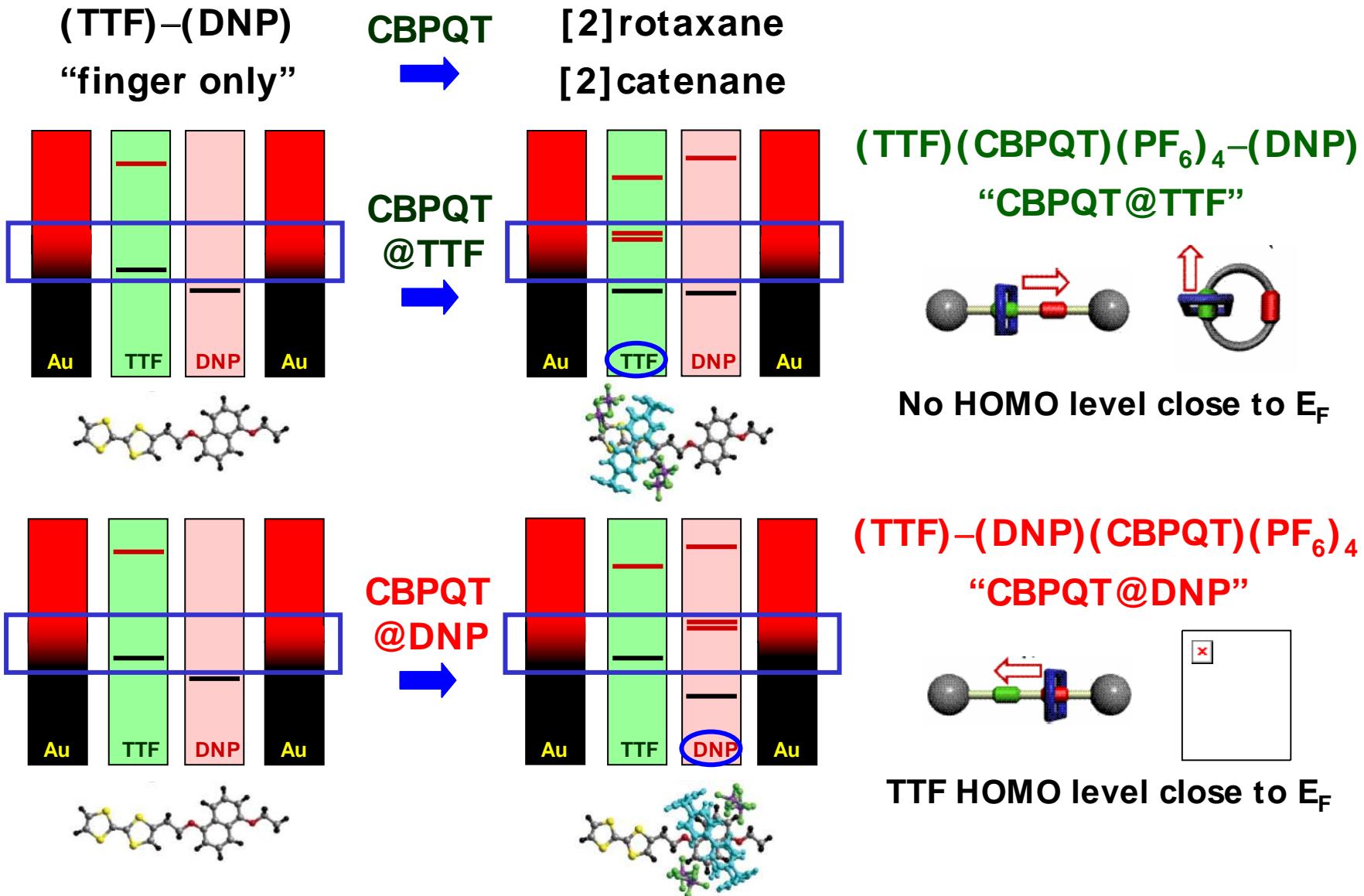
- [2]rotaxane electronic structure  $\approx$  a sum of two stations: bottom-up
- HOMO(TTF) is higher than HOMO(DNP) (first oxidation site).
- CBPQT provides low-lying LUMOs (smaller HOMO-LUMO gap).
- CBPQT lowers HOMO of the station where it sits on.

**Good agreement with experiment:**

**Reduction potential  $E^0$  (vs SCE in V in acetonitrile)**

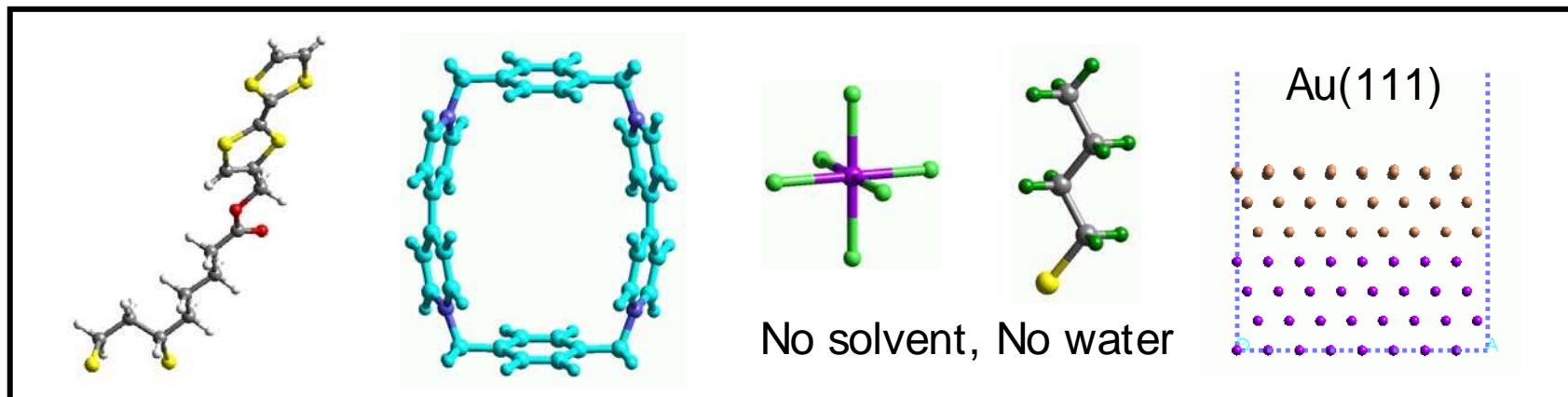
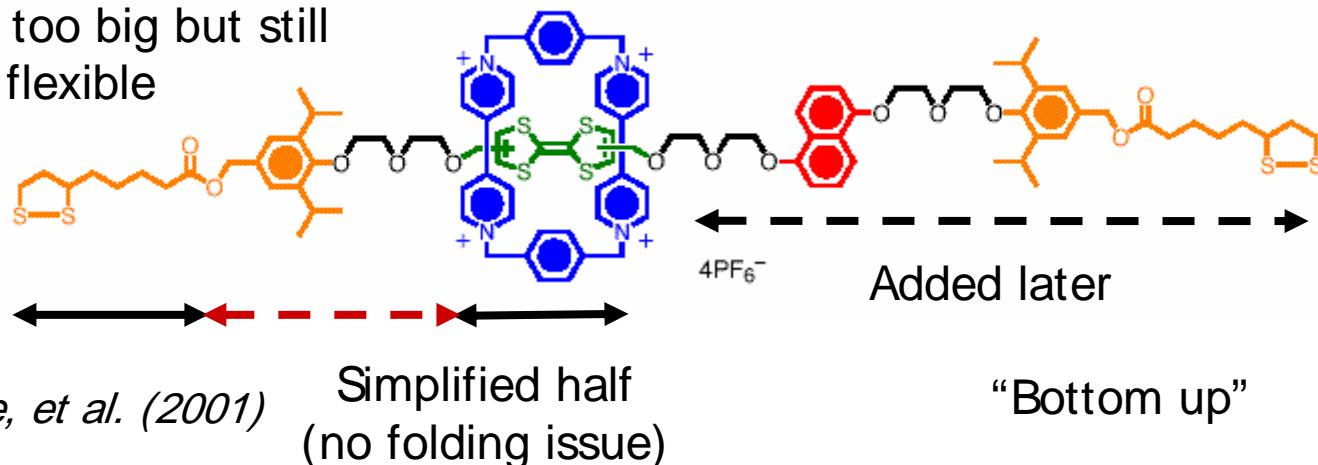
Bottom-up model	$E^0_1$	$E^0_2$	$E^0_2$	$E^0(\text{expt})^a$
<b>2:</b> TTF	0.32	0.90	-	0.3-0.4; 0.7-0.8
<b>3:</b> DNP	1.18	-	-	1.1-1.3
<b>4:</b> C(TTF)P	0.83	2.44	-	0.7-1.0
<b>5:</b> C(DNP)P	1.49	-	-	1.65, >1.30
TTF + DNP	0.32	0.90	1.17	0.2-0.4, 0.7-0.8, 1.1-1.2
C(TTF)P + DNP	0.81	0.90	-	0.7-1.0, 0.7-1.0

# Implication of QM to switching mechanism



## Step 2. Self-Assembled Monolayer on Au(111) MD Simulation at Various Coverages

Not too big but still  
too flexible



# Force field

$$E = E^{\text{nonbond}} + E^{\text{valence}}$$

$$E^{\text{nonbond}} = E^{\text{coulomb}} + E^{\text{vdW}}$$

$$E^{\text{valence}} = E^{\text{bond}} + E^{\text{angle}} + E^{\text{torsion}} + E^{\text{inversion}}$$

$$E_{ij}^{\text{coulomb}}(R) = C_0 \frac{q_i q_j}{\epsilon R_{ij}}$$

$$E_{ij}^{\text{vdW}}(R) = D_0 \left\{ \left[ \left( \frac{6}{\zeta - 6} \right) e^{\zeta \left( \frac{R}{R_0} \right)} \right] - \left[ \left( \frac{\zeta}{\zeta - 6} \right) \left( \frac{R_0}{R} \right)^6 \right] \right\}$$

$$E^{\text{bond}}(R) = \frac{1}{2} K_R (R - R_0)^2$$

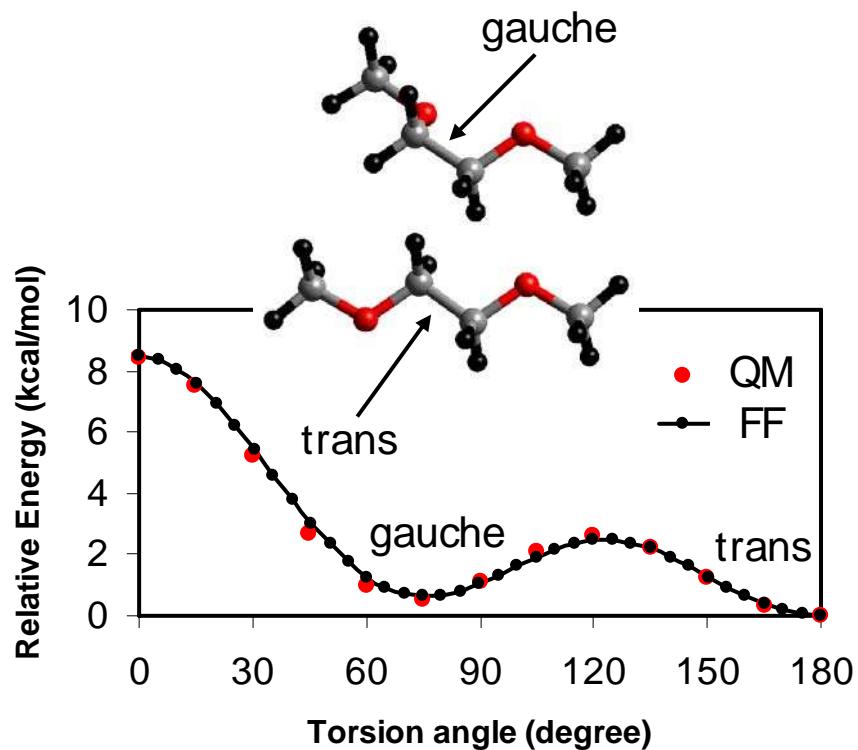
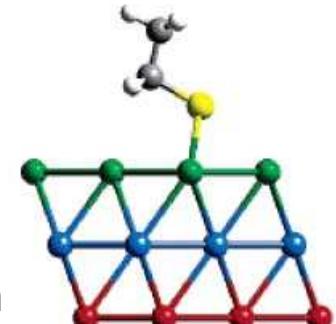
$$E^{\text{angle}}(\theta) = \frac{1}{2} \frac{K_\theta}{\sin^2 \theta_0} (\cos \theta - \cos \theta_0)^2$$

$$E_{Oh}^{\text{angle}}(\theta) = \frac{1}{2} \frac{K_\theta}{N^2} \left[ 1 - B(-1)^N \cos(N\theta) \right] (N=4)$$

$$E^{\text{torsion}}(\phi) = \frac{1}{2} \sum_n K_{\phi,n} [1 - d \cos(n\phi)]$$

$$E^{\text{inversion}}(\omega) = \frac{1}{2} \frac{K_\omega}{\sin^2 \omega_0} (\cos \omega - \cos \omega_0)^2$$

- Dreiding FF
- QM component charges
- QM-fitted parameters for Au-S
- QM-fitted ethylene oxide torsion
- Validated with experimental crystal structure (density) and binding energy

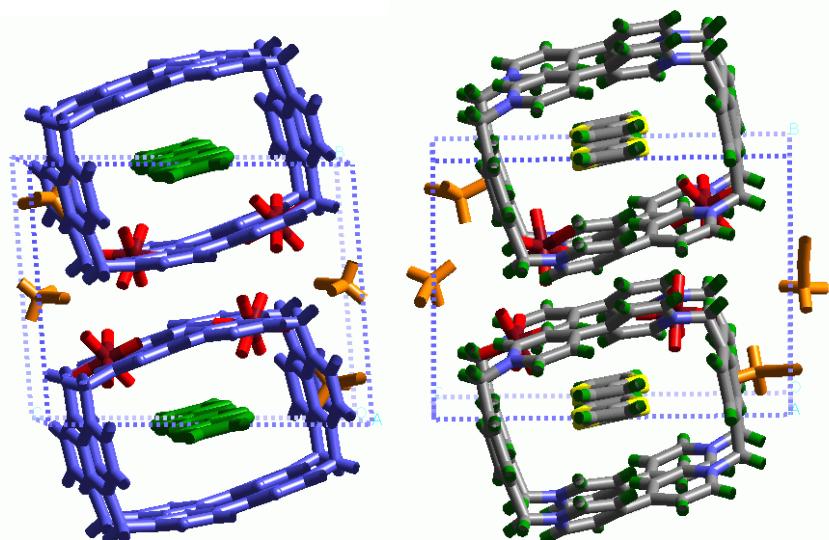


# Force field validation: Crystal structure of components

## VOLMEO

(TTF • CBPQT<sup>4+</sup> • 4 PF<sub>6</sub><sup>-</sup> • 4 CH<sub>3</sub>CN)

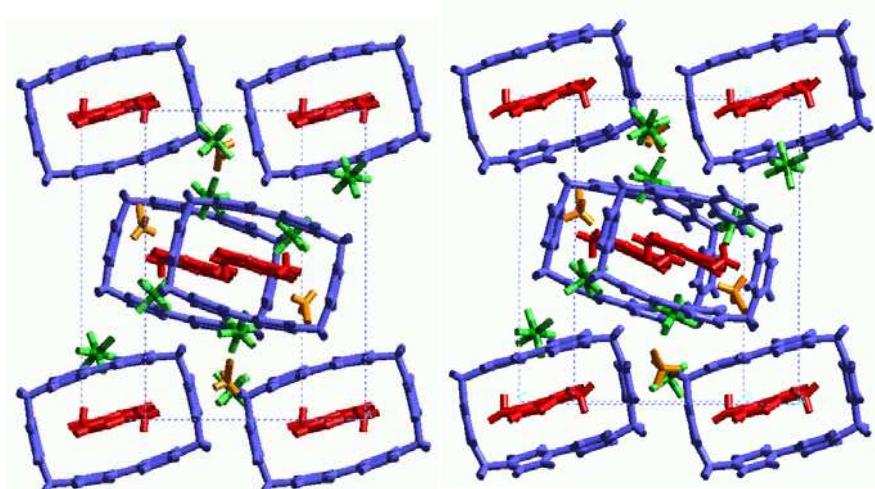
R = 0.094; Philp, JCS, Chem. Commun. (1991)



## KI RTEK

(DNP • CBPQT<sup>4+</sup> • 4PF<sub>6</sub><sup>-</sup> • 2CH<sub>3</sub>CN)

Reddington, Chem. Commun. (1991)

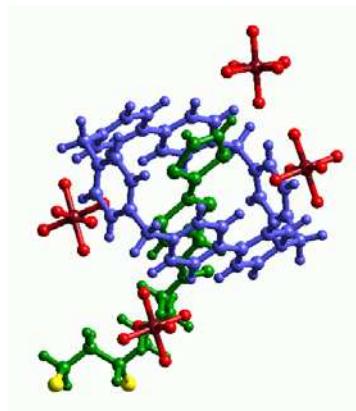


X-ray structure vs average from MD

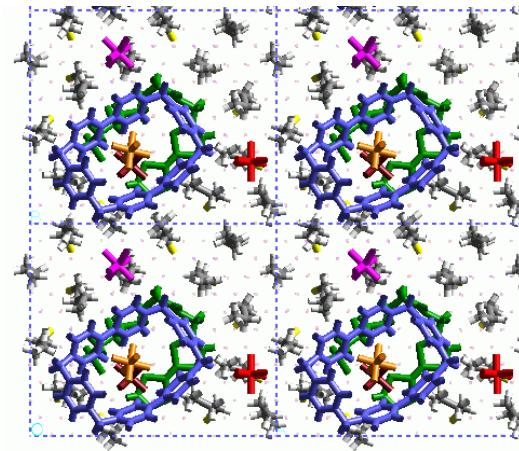
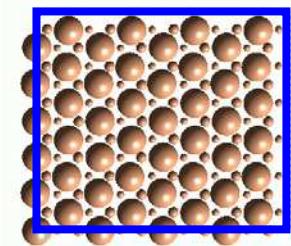
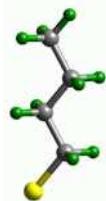
Density	1.59	1.546 (3 % ↓)	1.58	1.586 (0.4 % ↑)
(g/cm <sup>3</sup> )	@ 295 K	@ 295 K	@ 295 K	@ 295 K

## Low-end coverage SAM (1/ 48): 1 + 14 BuS on Au(6×4r3)

( $\theta(S) = 0.33$ ; 16 sites for S)

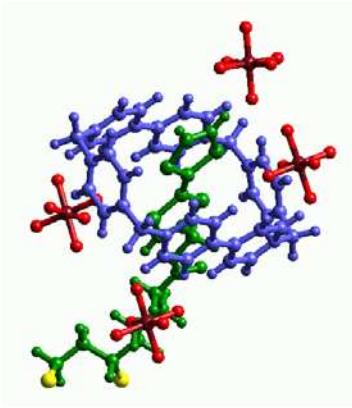


Surface  
diluent

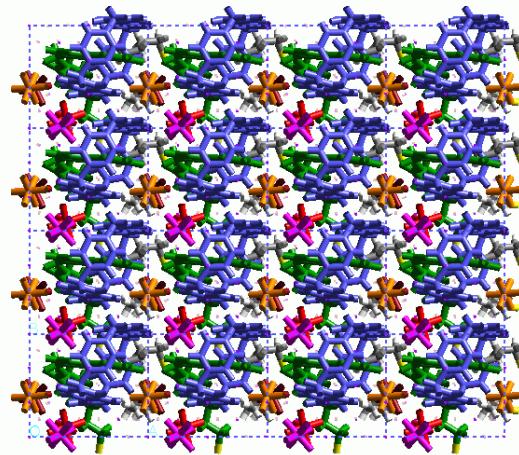
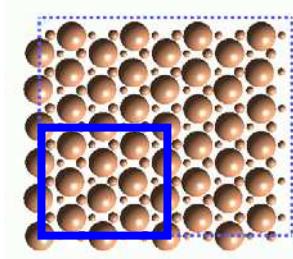
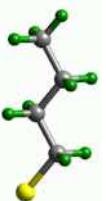


## High-end coverage SAM (1/ 12): 1 + 2 BuS on Au(3×2r3)

( $\theta(S) = 0.33$ ; 4 sites for S)



Surface  
diluent



\* Vacant adsorption sites passivated by coadsorbing butanethiol. Constant number of S per area

# SAM at various coverages [ $n(1)/n(\text{Au}_{\text{surf}})$ and footprint]

**1/12**

(3×2r3)

0.86

**1/18**

c(9×2r3)

1.30

**1/24**

c(6×4r3)

1.73

**1/27**

c(9×3r3)

1.94

**1/36**

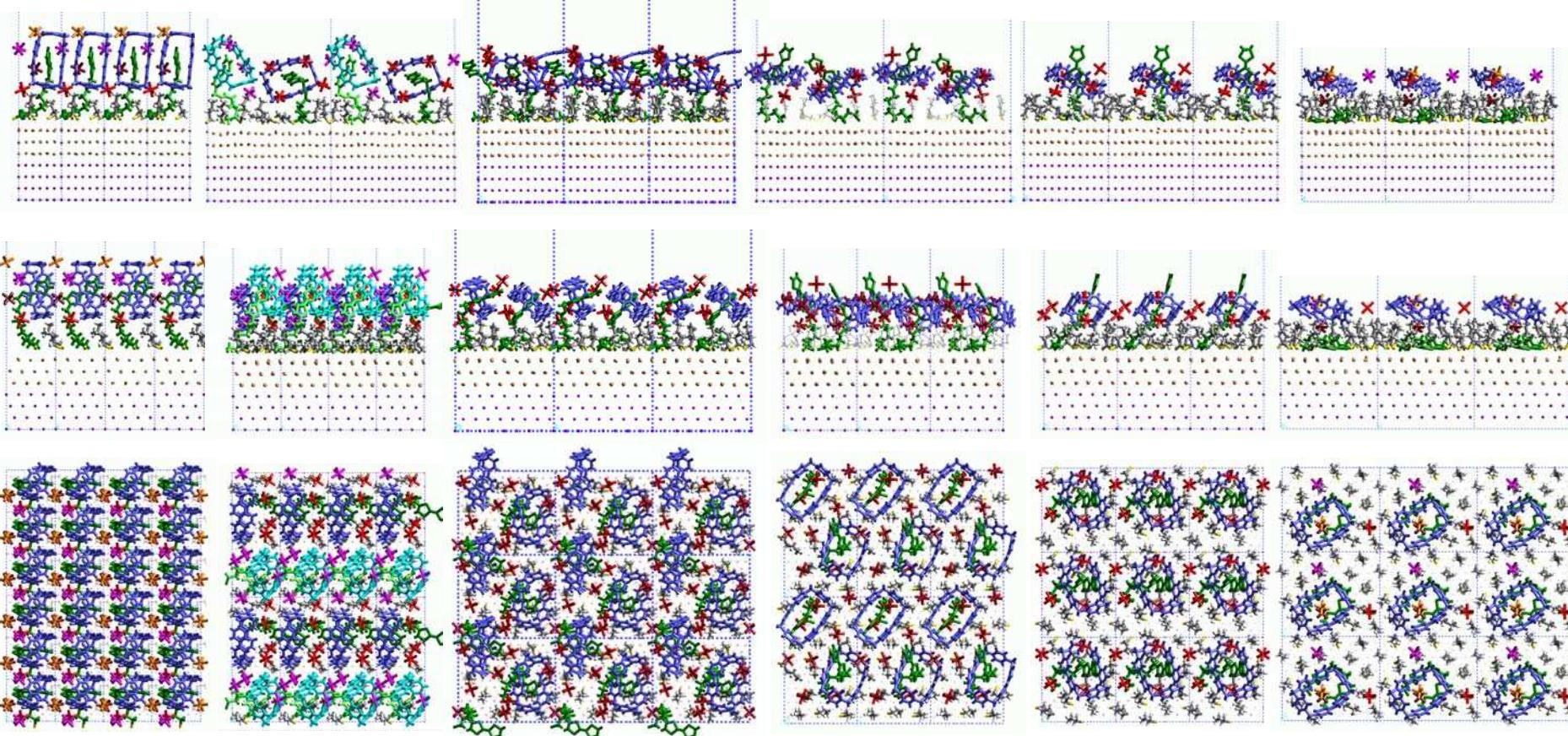
(6×3r3)

2.59

**1/48**

(6×4r3)

3.46 nm<sup>2</sup>/1

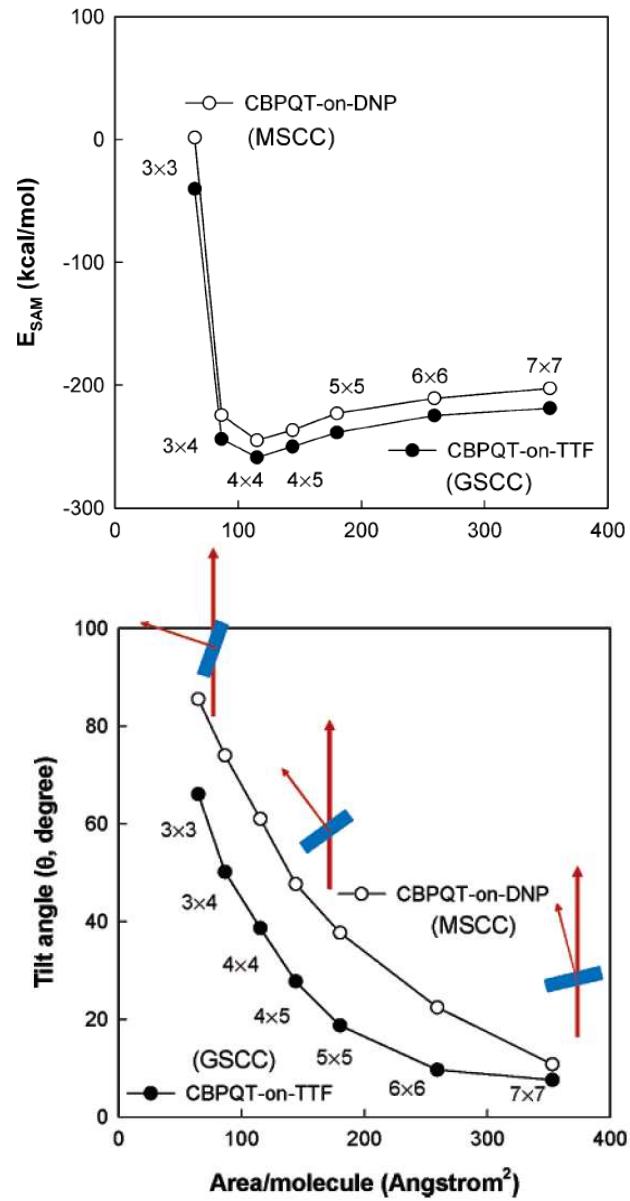
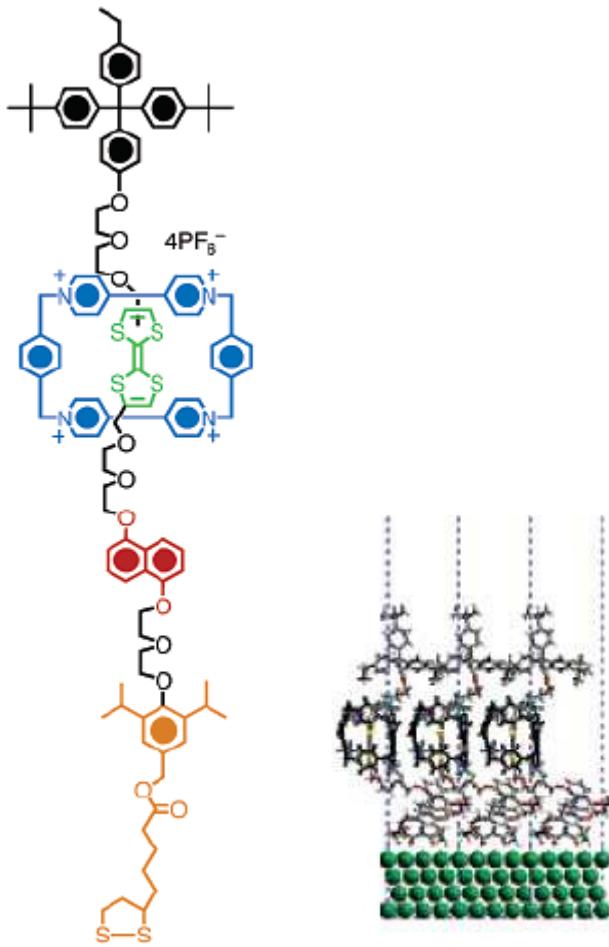


Up along x Up along y Tilt around y-axis lie down

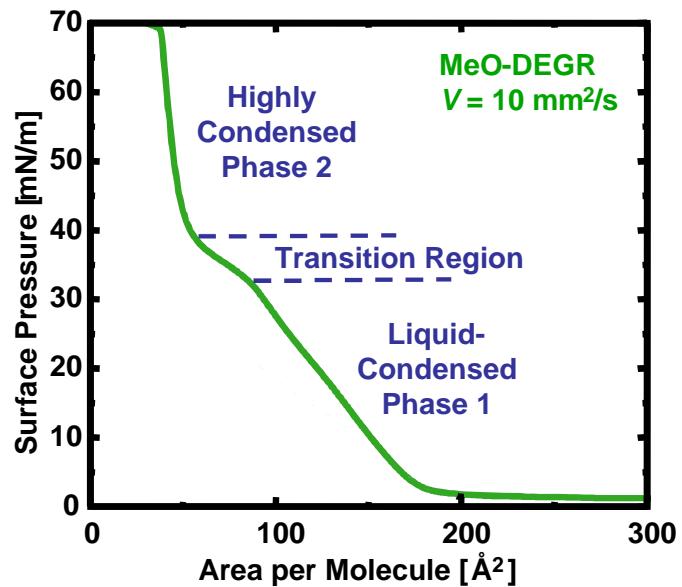
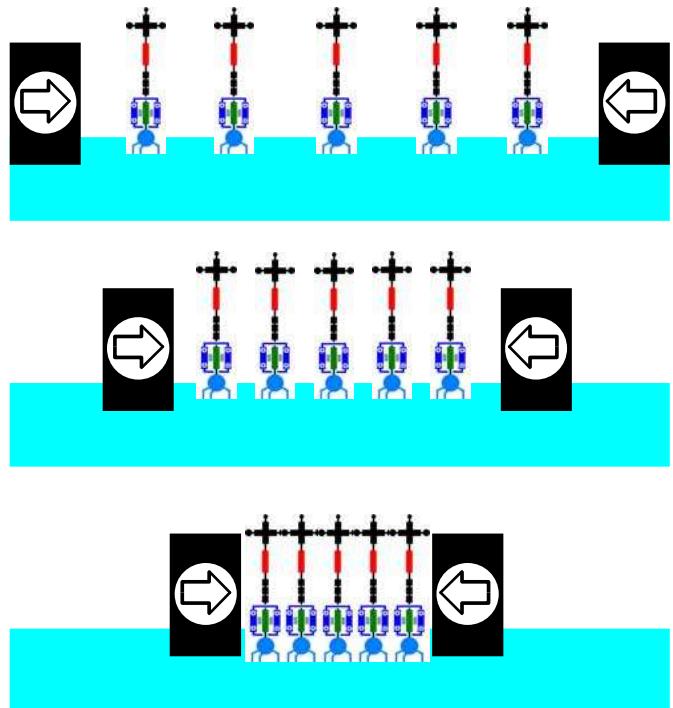
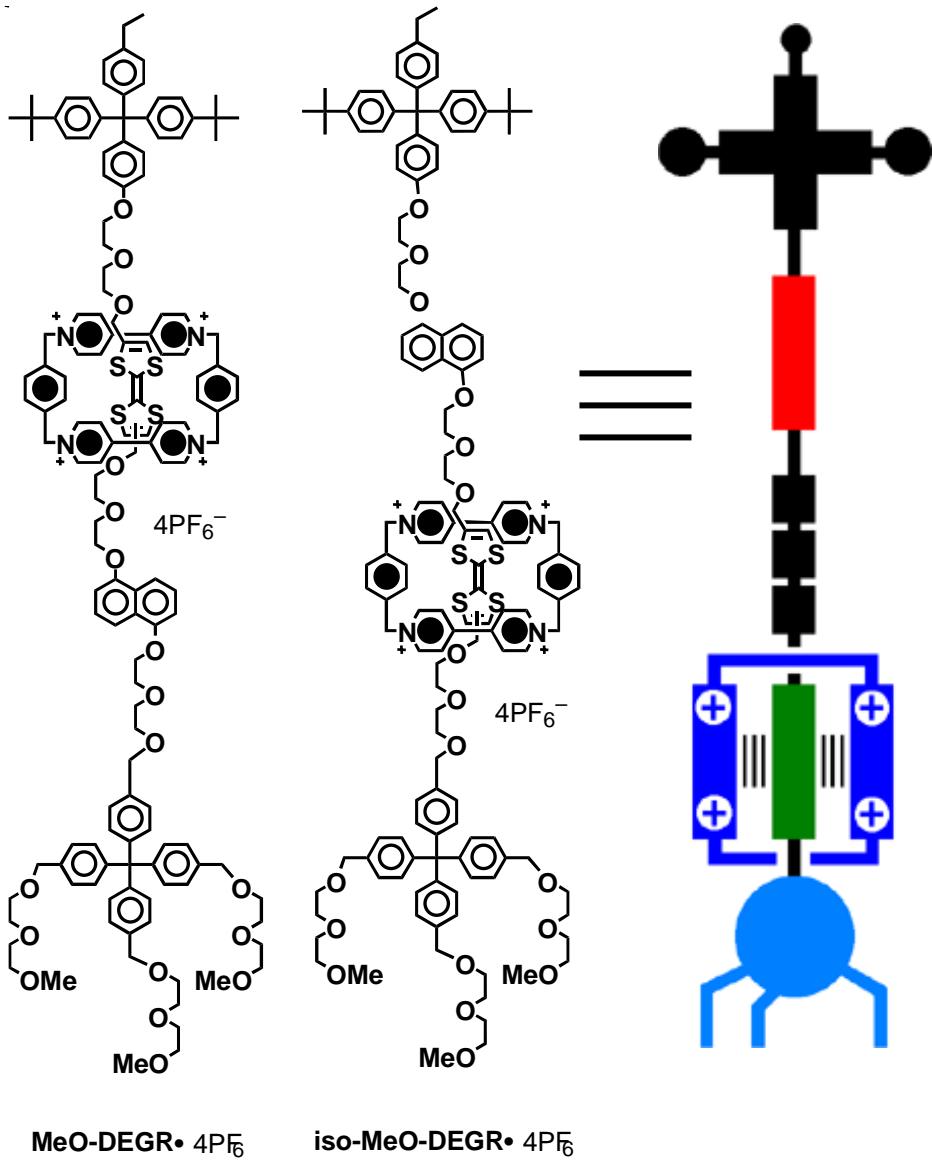
Complexed

Uncomplexed

## Full [2]rotaxane: the same behavior

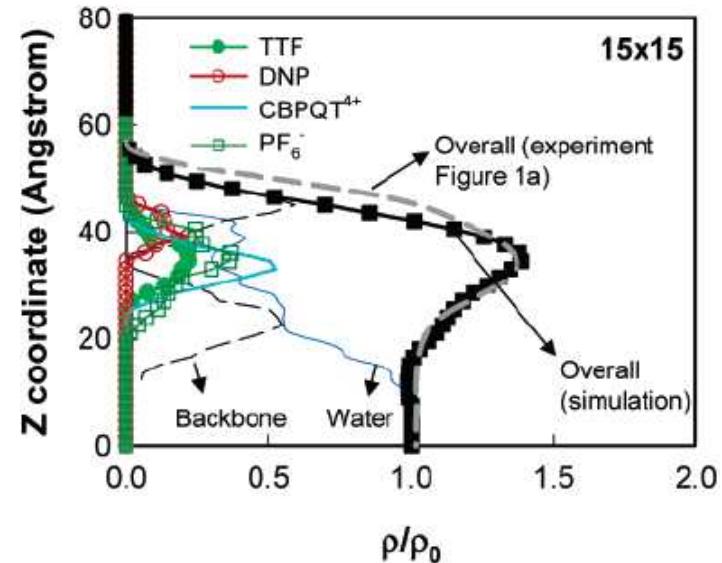
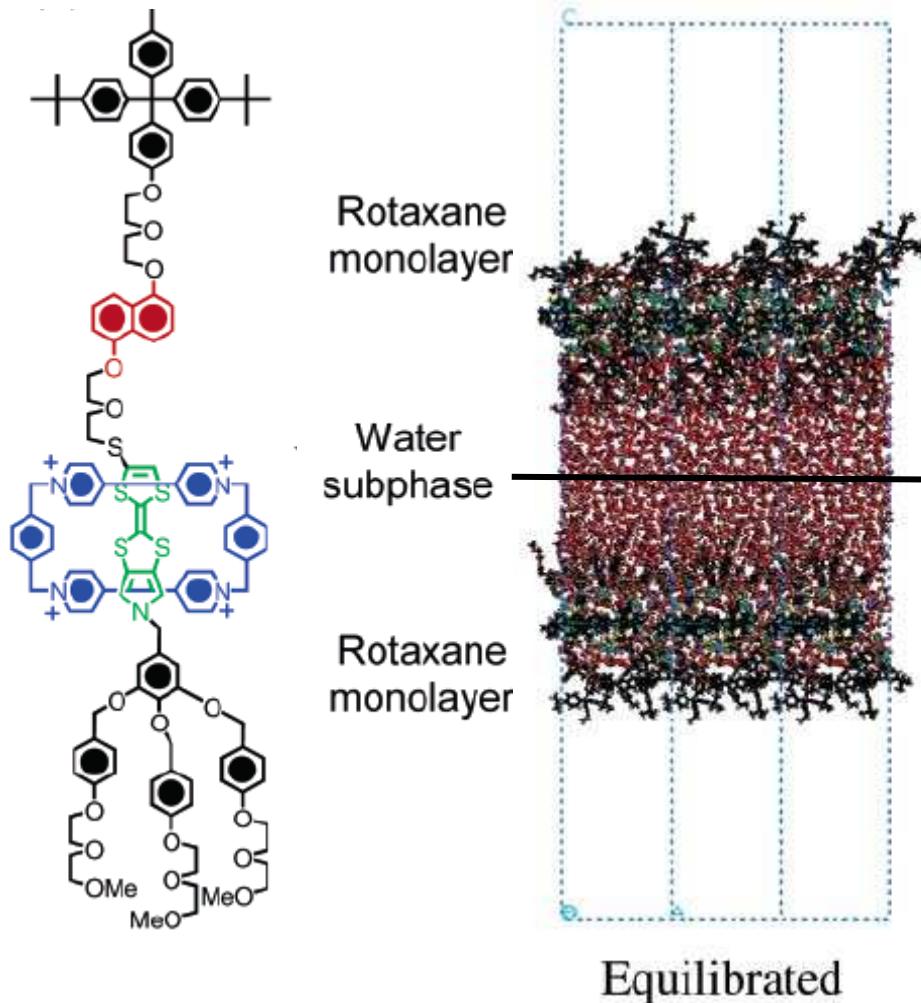


# Related experiment: Langmuir isotherm



# Langmuir monolayer at air/ water interface

Simulated electron density z-profile vs x-ray reflectivity experiment

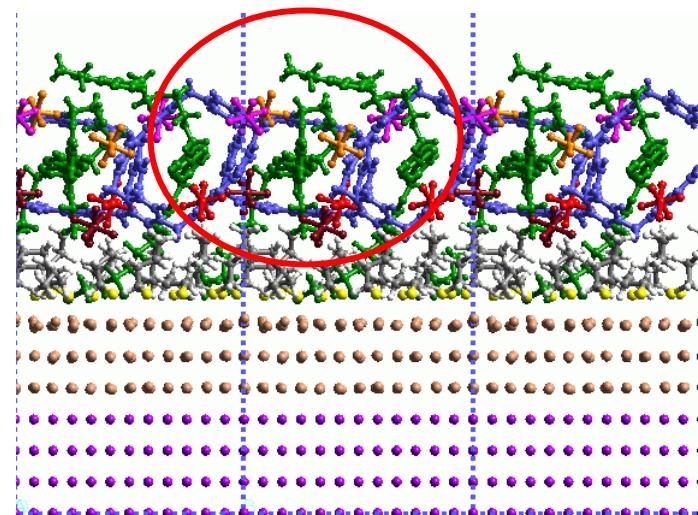
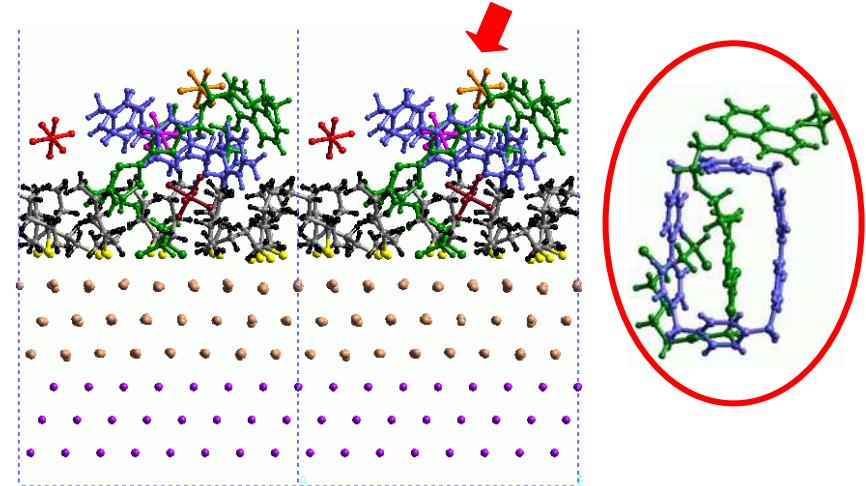
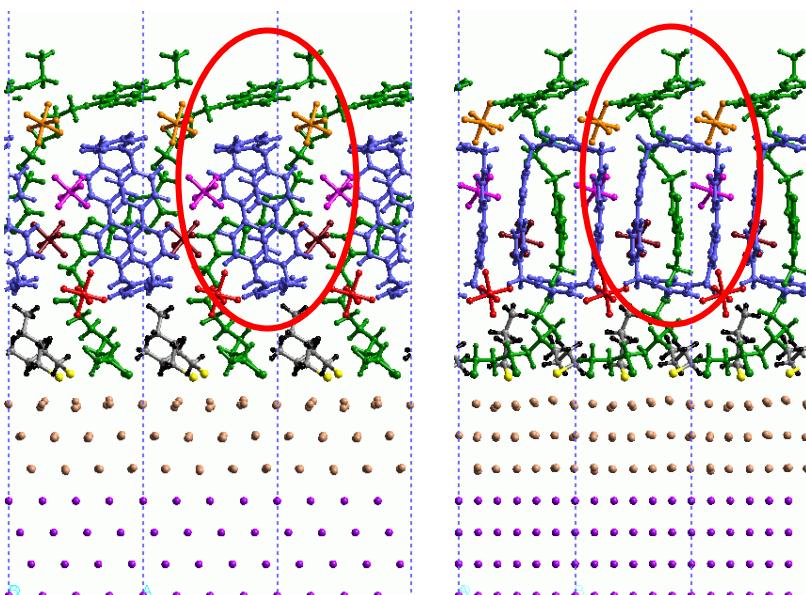


From component analysis:

Water may remain inside SAM after transferred on electrode.

## Full [2]rotaxane:

$\pi$ - $\pi$  contact between stations  
preferred at all coverages

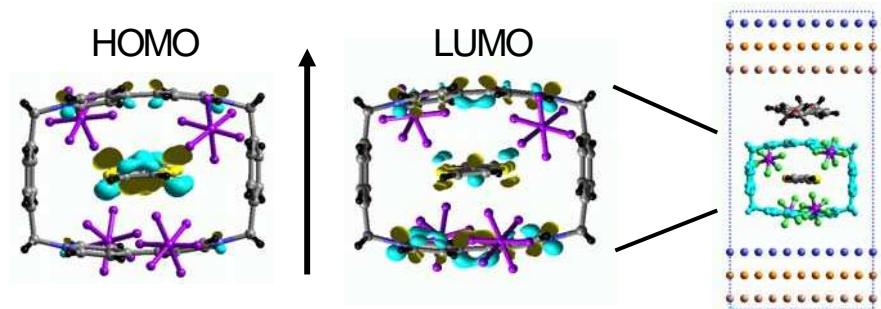
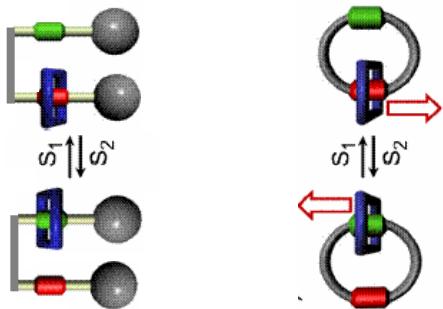


## Finding

- CBPQT changes orientation at higher coverages.
- Folding &  $\pi$ - $\pi$  contact between stations
- Water still remains after transfer of Langmuir monolayer to electrode

## Implication from MD

- Rotaxane ~ Catenane?
- Conducting thru  $\pi$ - $\pi$  stack (as in DNA)?

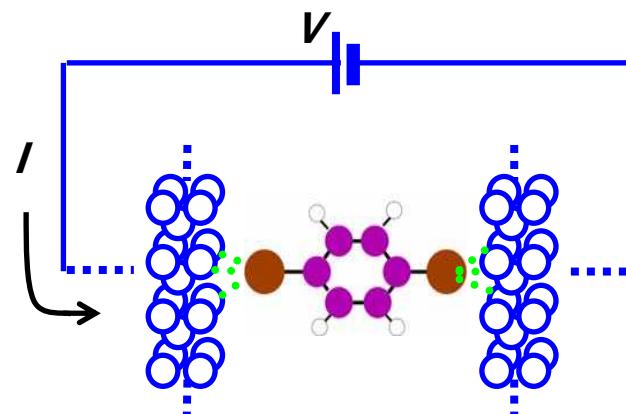
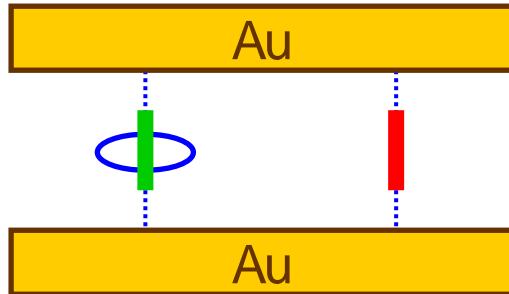


- Shuttling-&-switching possible at this tight network? Other mechanisms?
- Refined model with water inside is needed.

# Step 3. Molecular junction between Au electrodes

## Current-Voltage ( $I$ - $V$ ) Characteristics

Periodic QM (DFT) with surface Green's function formalism



# $I-V$ : DFT + Green's Function + Landauer formalism

Density-functional theory (Hohenberg-Kohn-Sham)

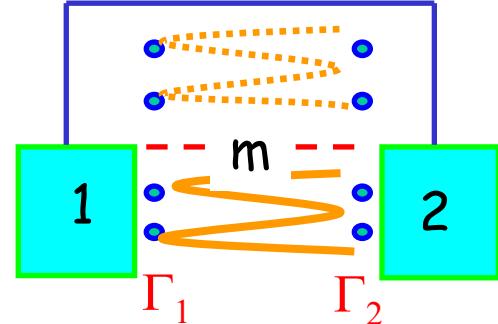
"Extended molecule" + separate bulk electrode calc.  $\rightarrow H, S$

Non-Eqm. Green's ftn. Formalism (Fisher-Lee)

$$G_m = (E_m S_m - H_m - \Sigma_1 - \Sigma_2)^{-1}$$
$$\Gamma_{1,2} = i(\Sigma_{1,2} - \Sigma_{1,2}^+)$$

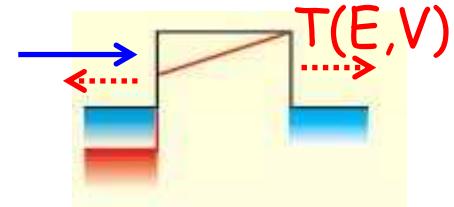
self-energy  
broadening

$$T(E, V) = \text{Tr} (\Gamma_1 G \Gamma_2 G^+)$$

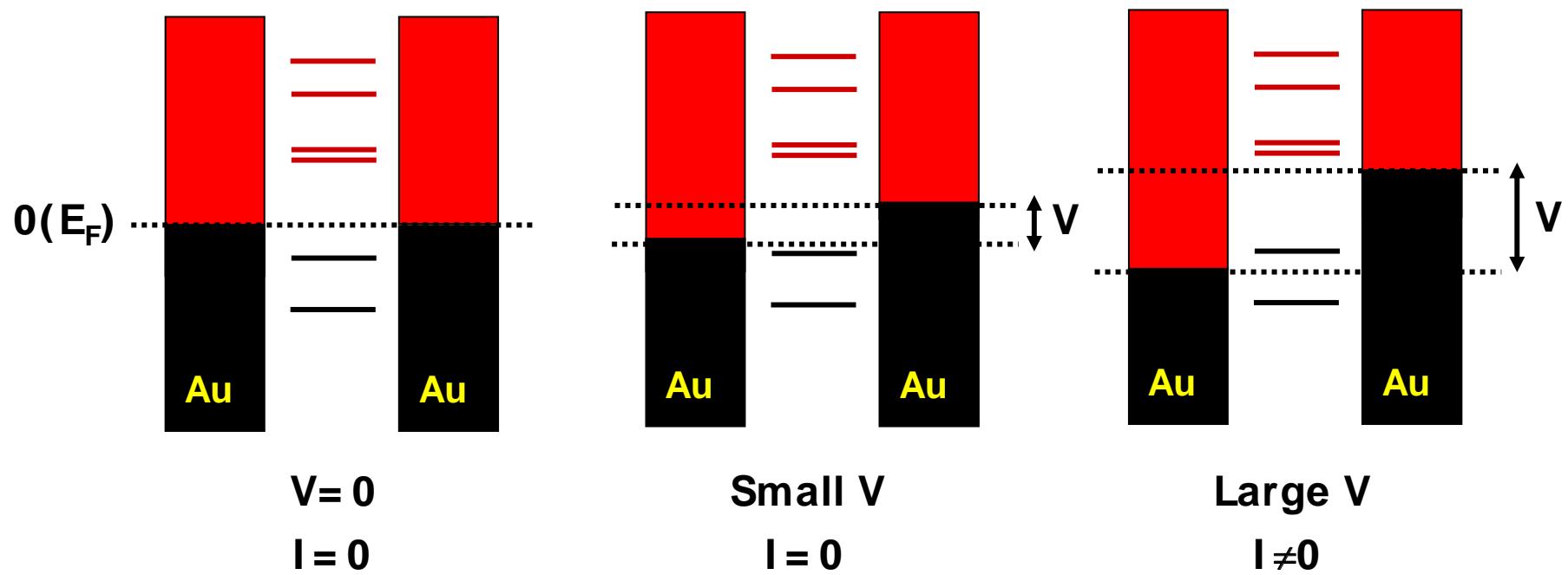
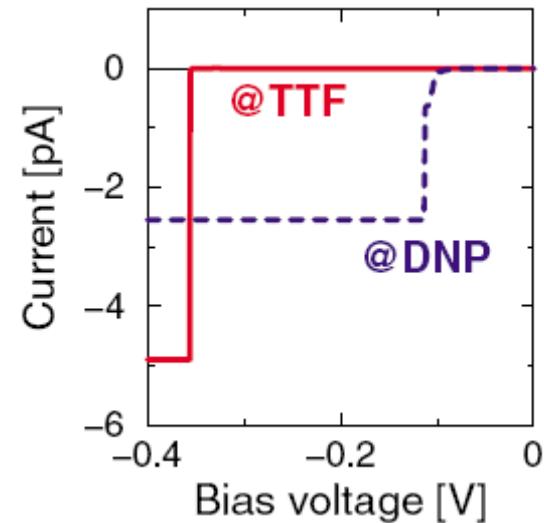
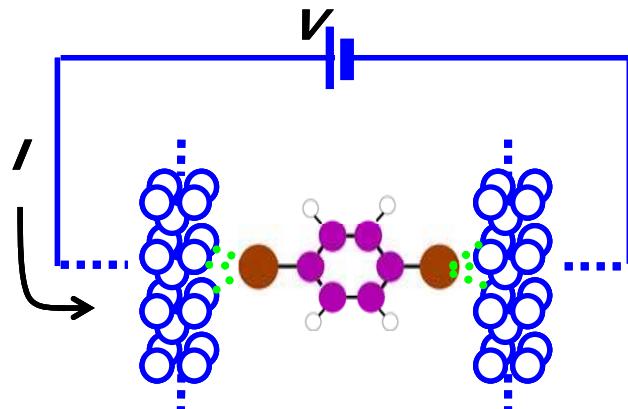


Ballistic transport theory (Landauer, Buttiker)

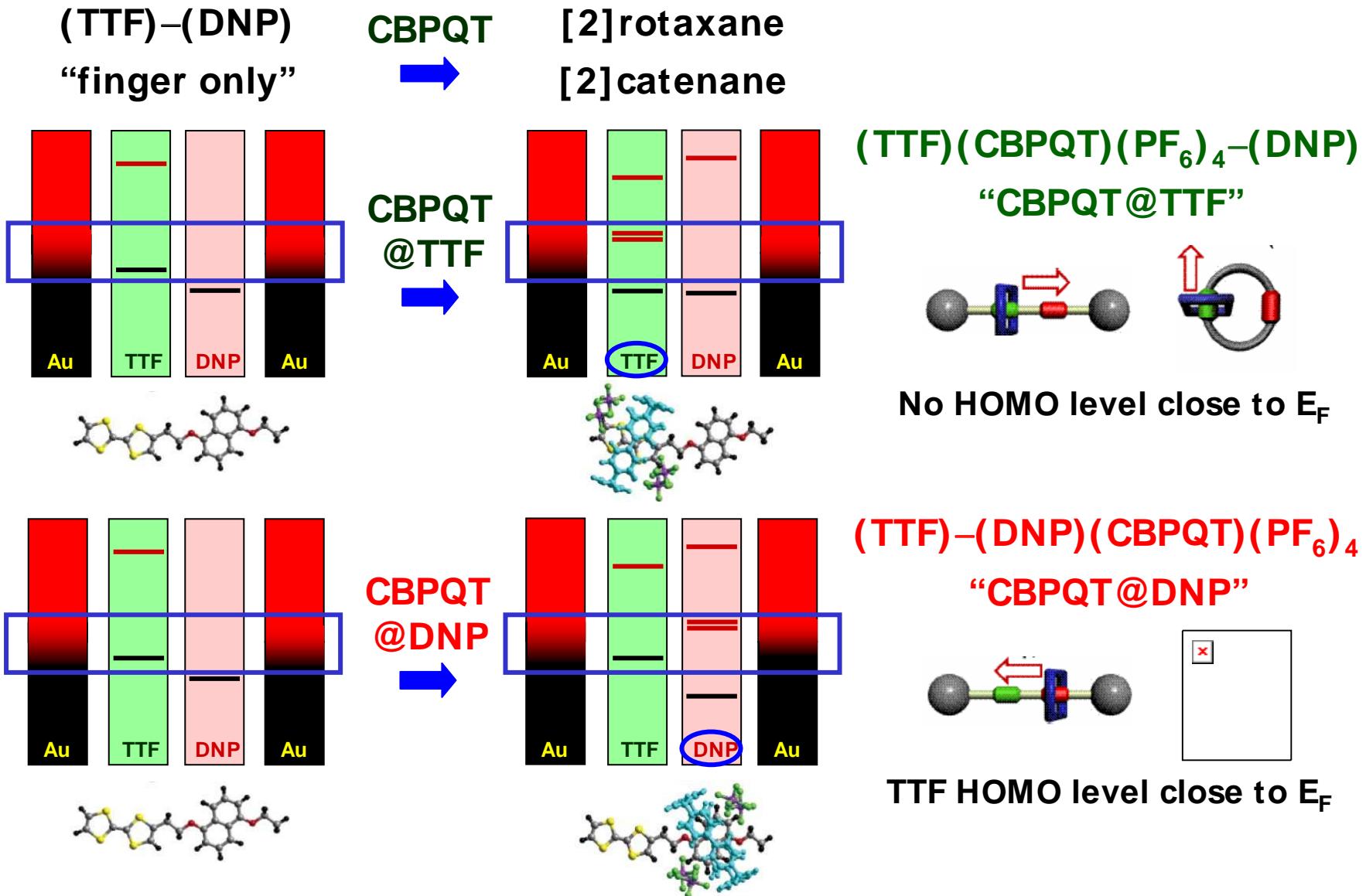
$$I = \frac{2e}{h} \int_{-\infty}^{\infty} T(E, V) [f_1(E - \mu_2) - f_2(E - \mu_1)] dE$$



Calculated with SeqQuest periodic DFT code (Peter Schultz, Sandia)

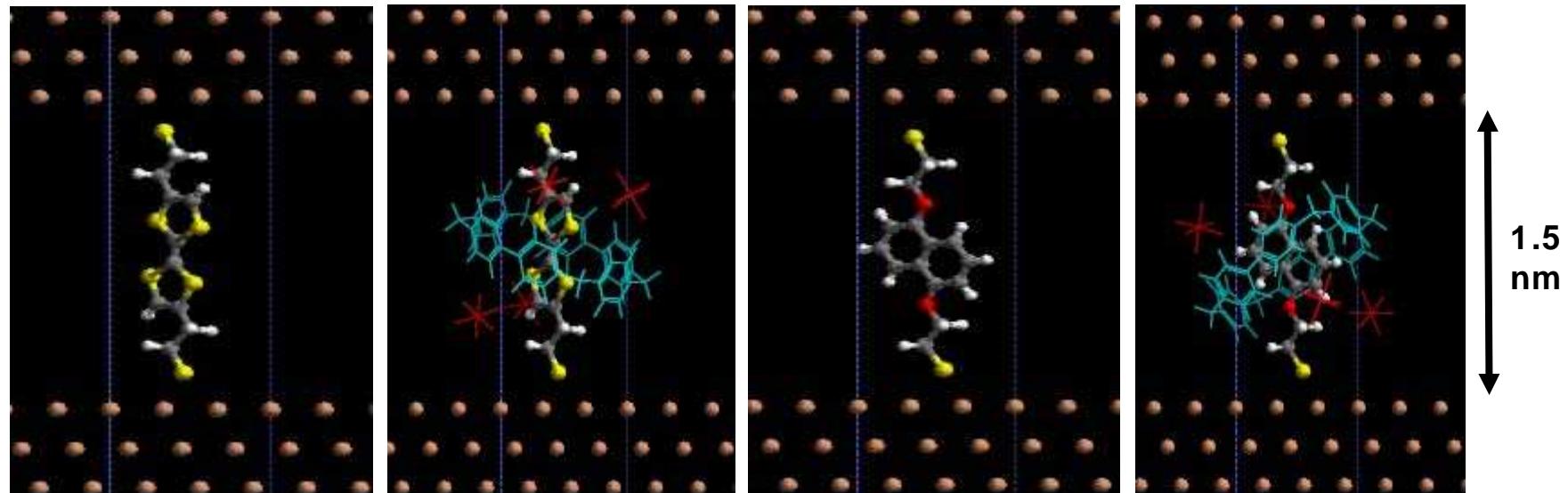
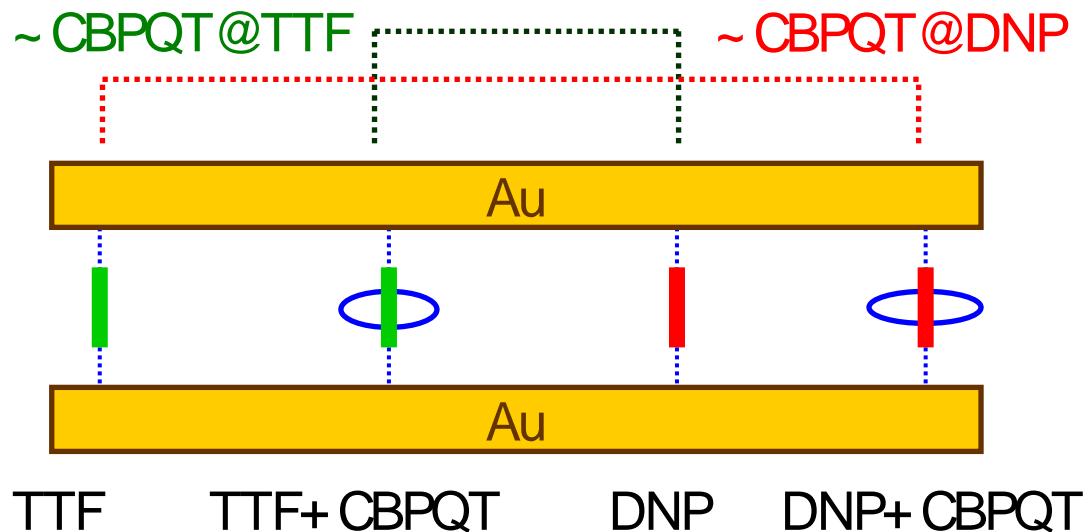
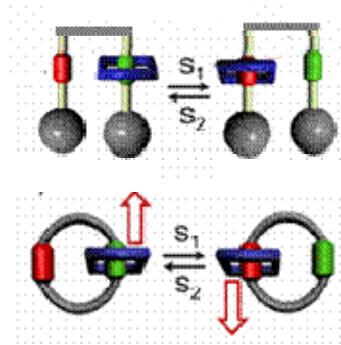


# Implication of QM to switching mechanism

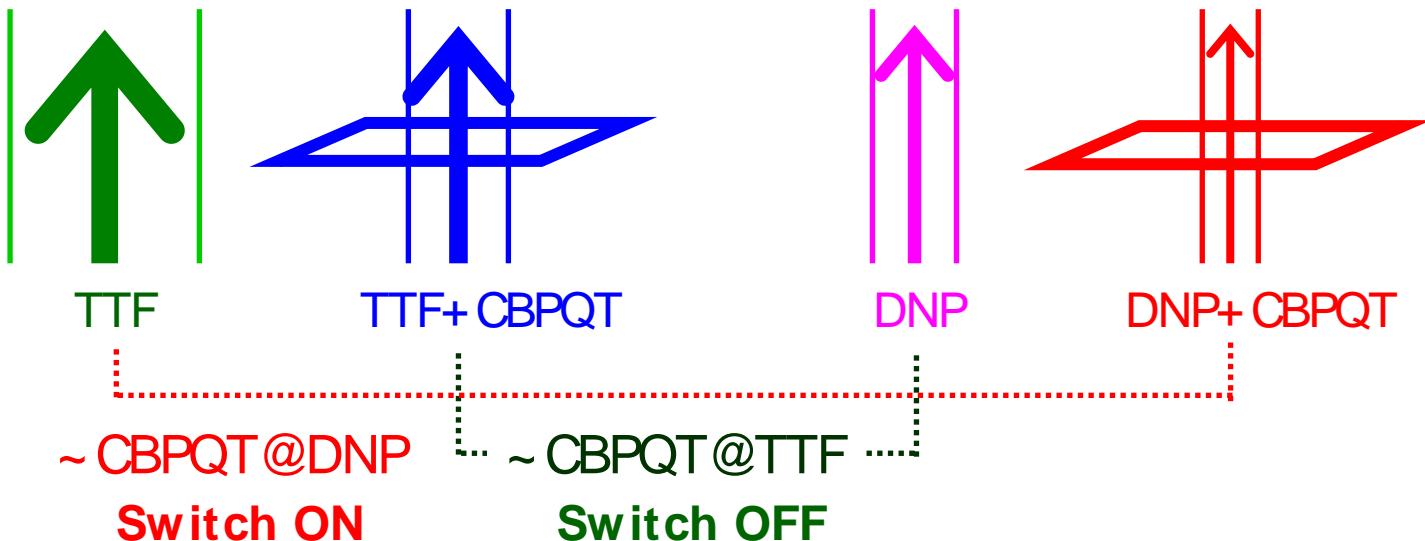
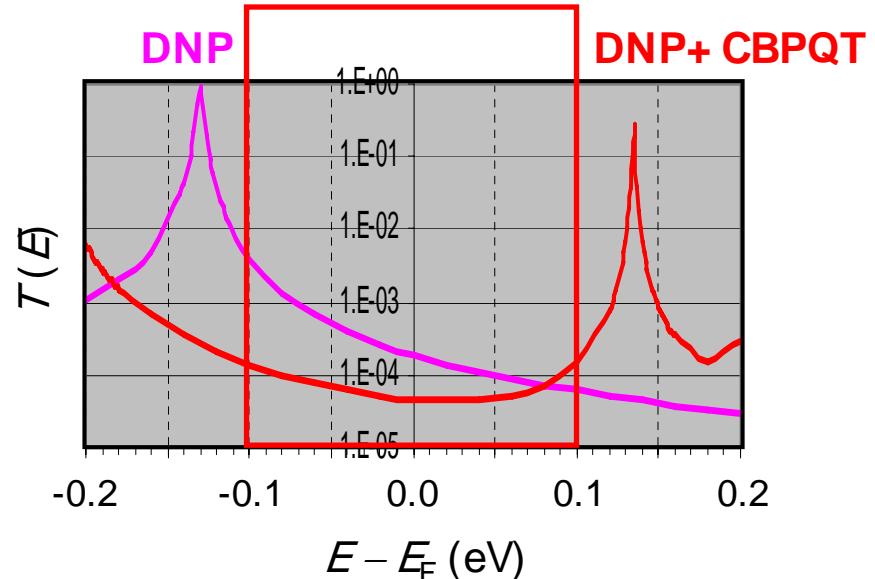
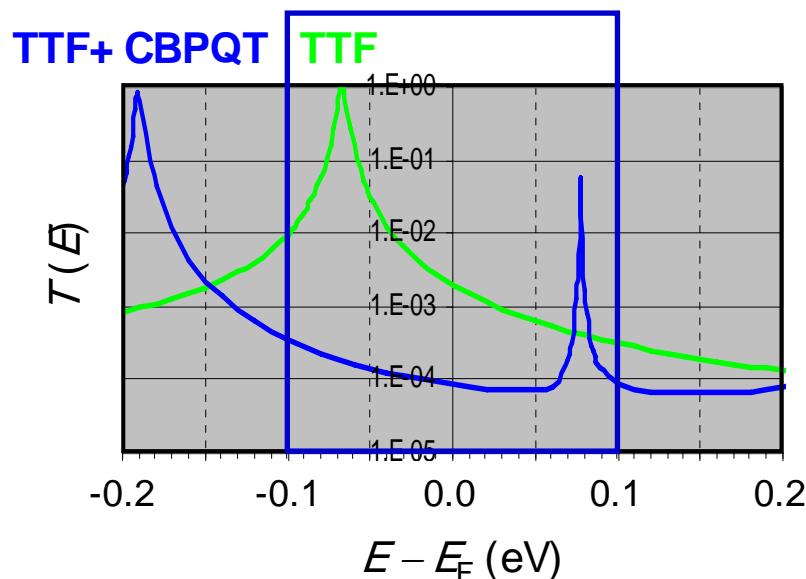


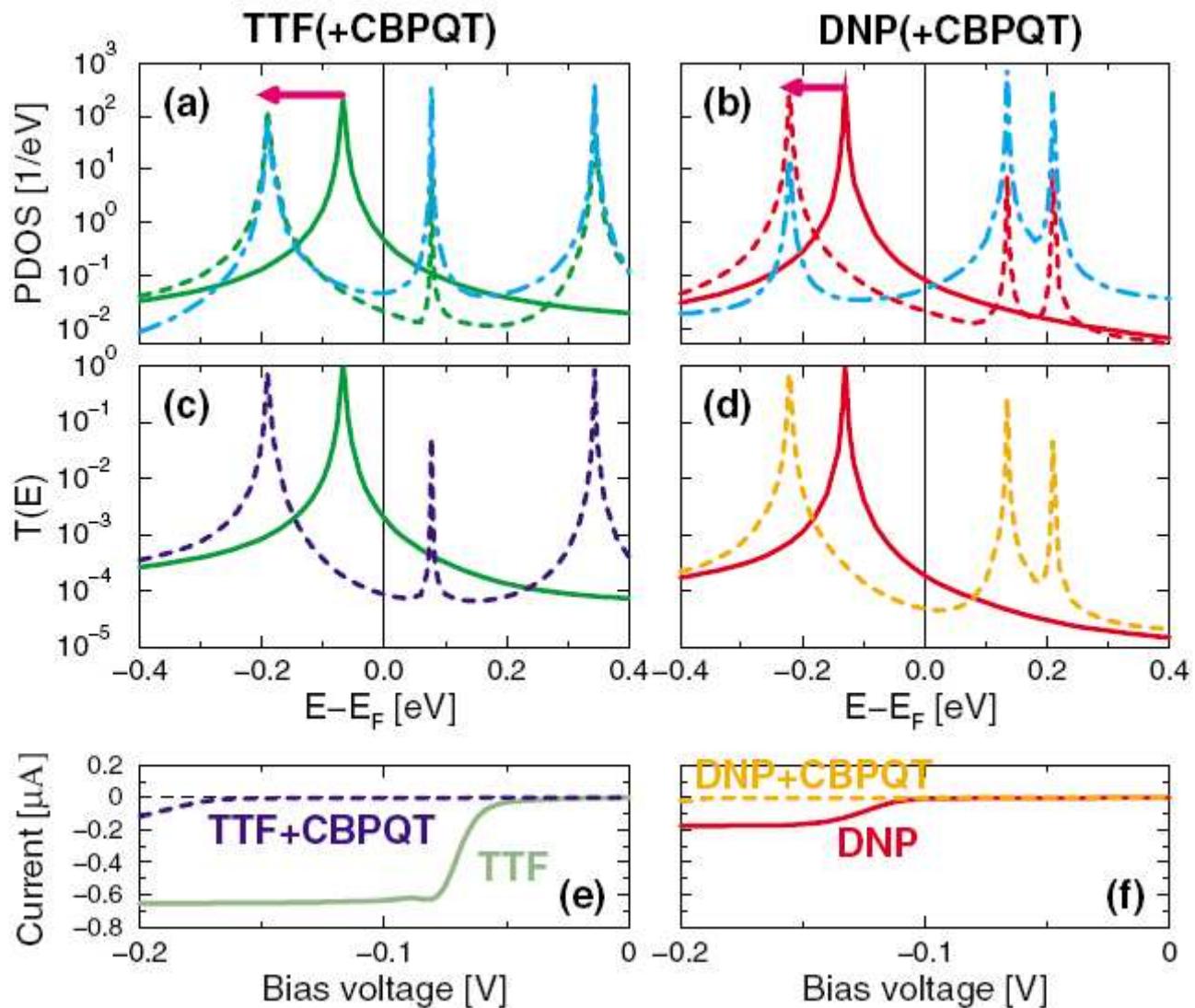
# Key components between Au(111) slabs

**Rotaxane ~ Catenane**  
~ sum-up of components



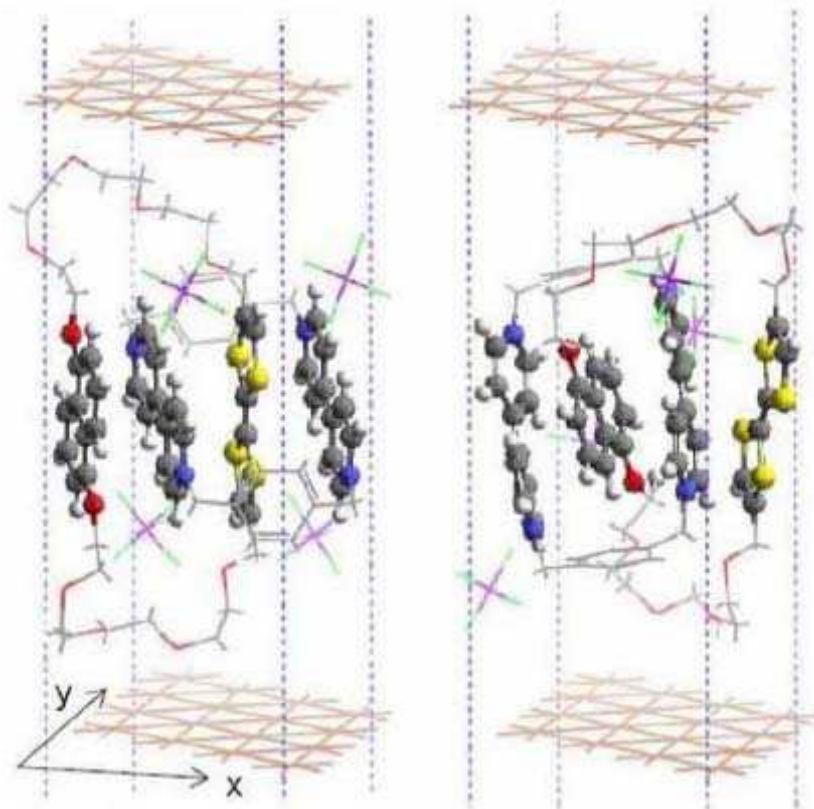
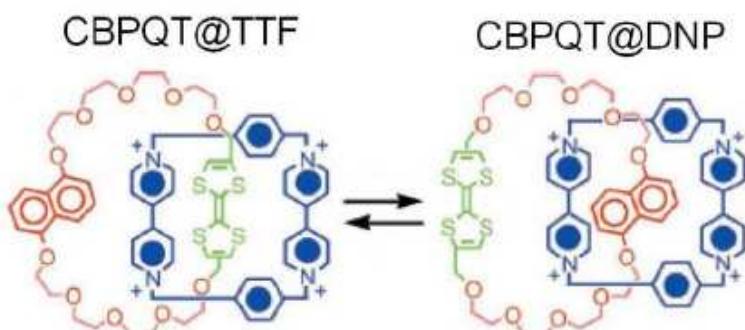
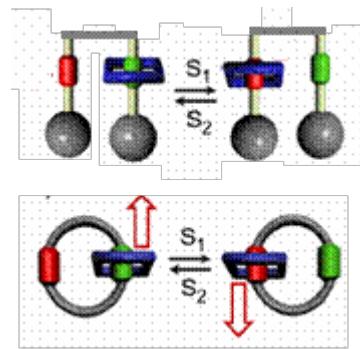
# Transmission within the energy window





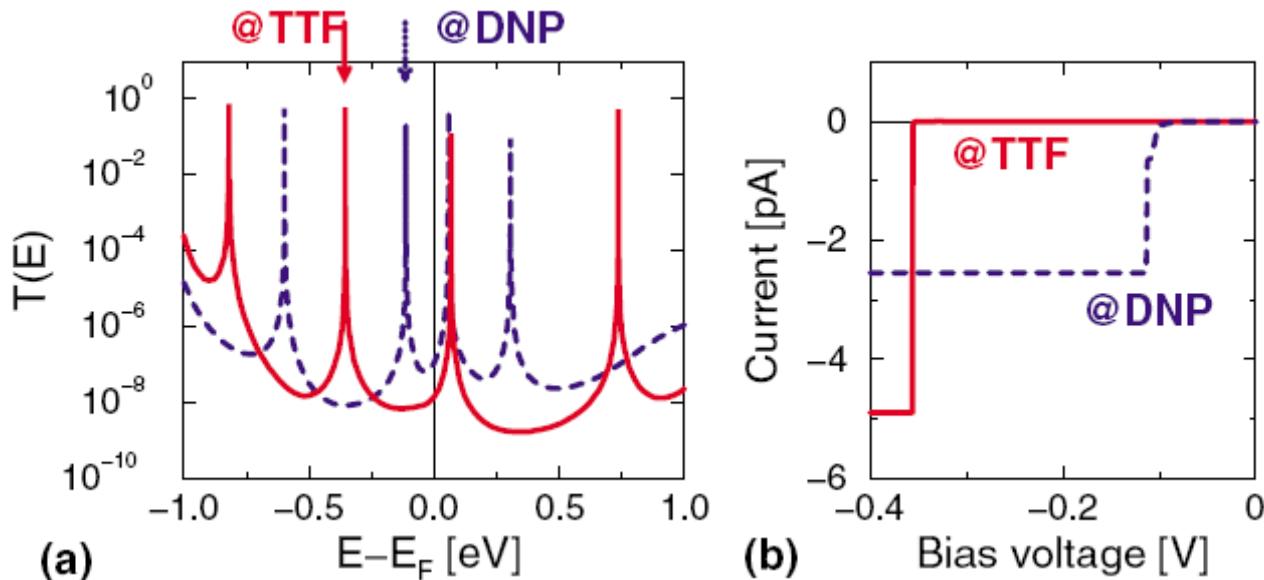
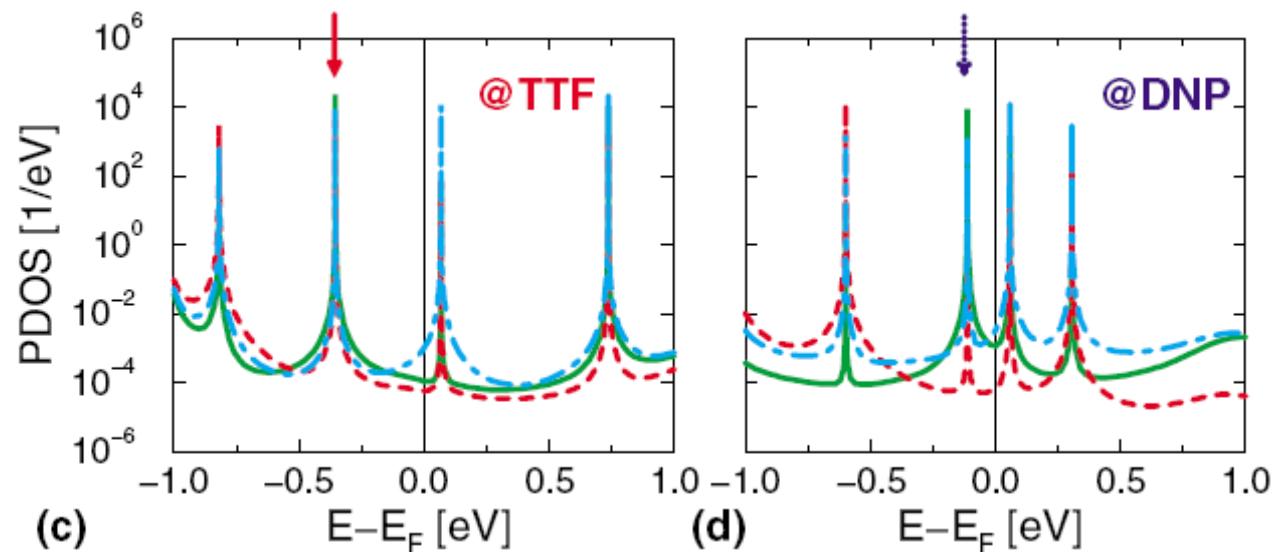
# Model junction: [2]Catenane between Au(111) slabs

Folded rotaxane ~ Catenane

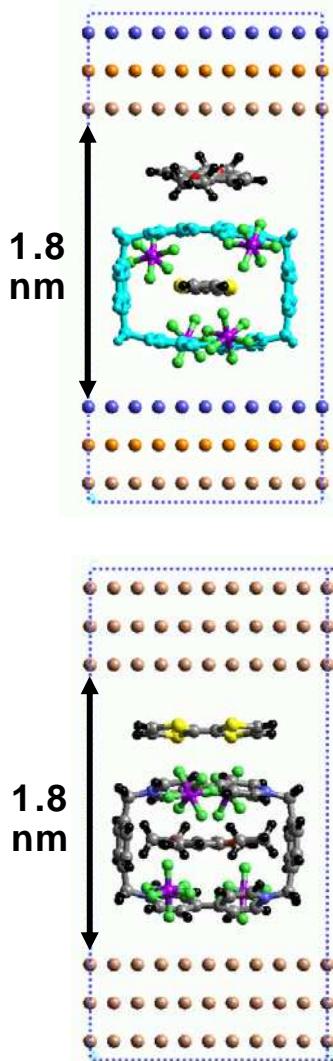


CBPQT@TTF  
“Green” state

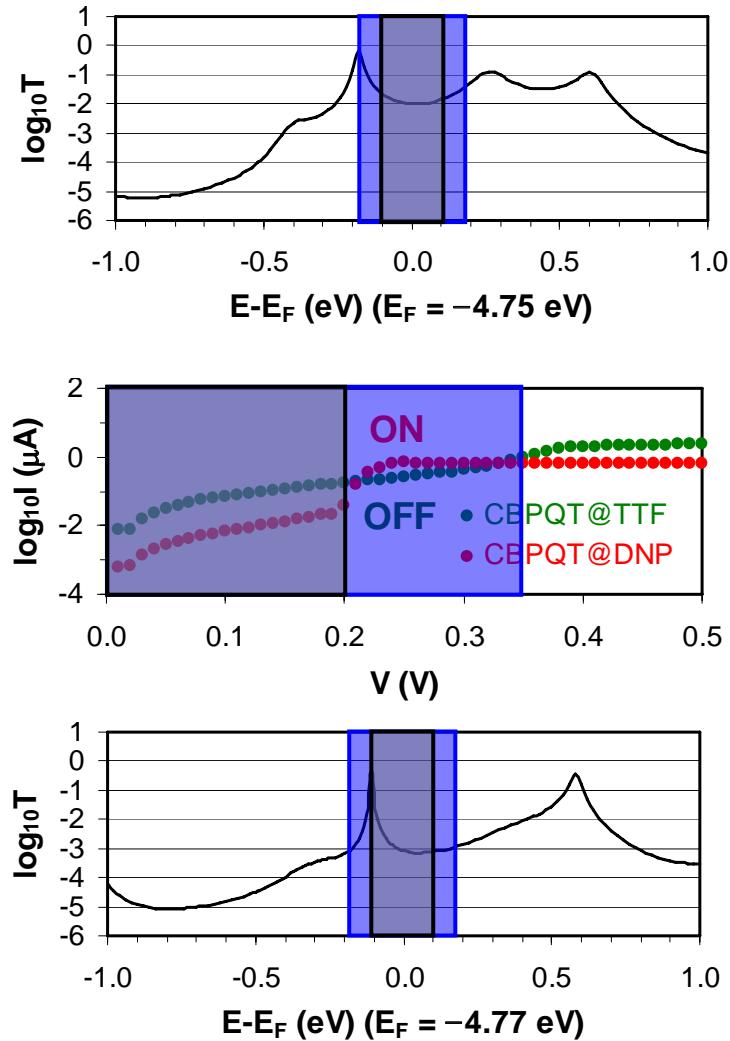
CBPQT@DNP  
“Red” state



# Model: $\pi$ -stacked components between Au(111)



0.2 – 0.3 V  
(choice in experiments)  
CBPQT@DNP: Switch ON  
CBPQT@TTF: Switch OFF  
ON/OFF ratio ~ 5  
(agreement with experiments)



# Summary

## (1) Electronic structure of key components: QM

- Role of the ring 1: provide low-lying LUMO
- Role of the ring 2: stabilize energy level of station
- $\pi$ -orbitals dominant around HOMO-LUMO

## (2) SAM packing on Au(111): MD simulation

- Coverage-dependent conformation

## (3) $I$ - $V$ Calculation: periodic QM + Green's function formalism

Au(111) – Model catenane/fully-folded-rotaxane – Au(111)

